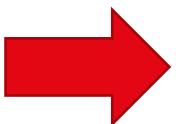


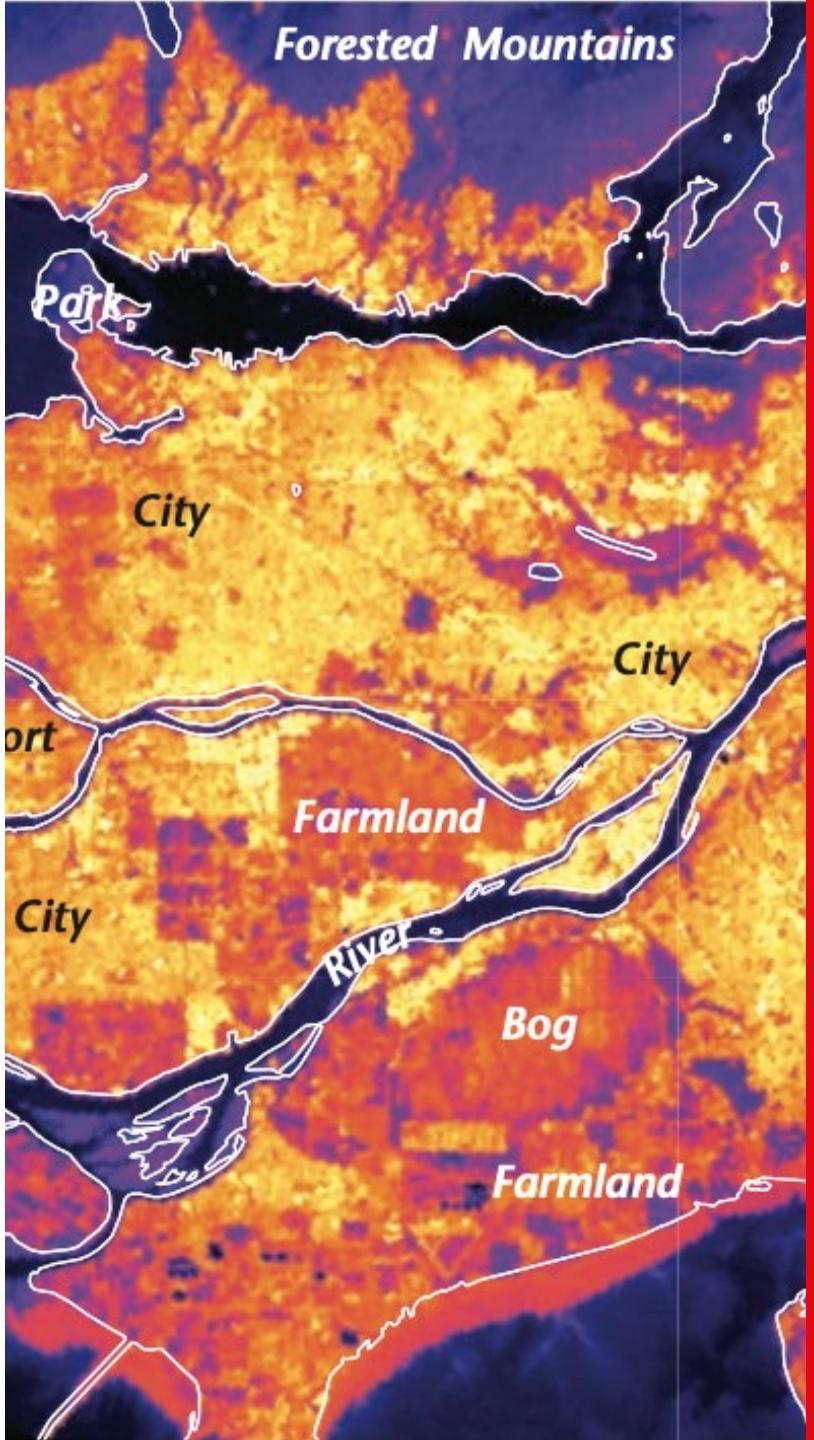
CIVIL-309: URBAN THERMODYNAMICS

**Assist. Prof.
Dolaana Khovalyg**

**Lecture 08:
Vegetation-Environment Interaction**

8	28.10	2 x 45'	L5	Building-environment interaction: thermal, aerodynamic, and hydrodynamic interaction	DK
			1 x 45'	P5 Group work—simulation practice based on L5: building-environment interactions, workflow to create and modify building geometry, and materials for building walls and roofs. Data visualization for building surface temperature and visualization for scenario comparison	
9	04.11	2 x 45'	L6	Ground-environment interaction: ground properties, thermal, aerodynamic, and hydrodynamic interaction	DK
			1 x 45'	P6 Group work – simulation practice based on L6: relevant parameters for ground materials, soil profile, and data analysis regarding ground-environment interactions	
10	11.11	2 x 45'	L7	Water body - environment interaction: thermal, aerodynamic, and hydrodynamic interaction	DK
			1 x 45'	P7 Group work – simulation practice based on L7: workflow to create different water bodies and fountains in ENVI-met and data analysis for water-environment interactions	
11	18.11	2 x 45'	L8	Vegetation – environment interaction: characteristics of vegetation, evapotranspiration, aero- and thermal interaction	KL
			1 x 45'	P8 Group work – simulation practice based on L8: two modes of vegetation models in ENVI-met and methods to create new vegetation profiles, green walls and roofs, data analysis for vegetation-environment interactions	





CONTENT:

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- Role of vegetation in urban areas
- UHI effect mitigation using vegetation

II. Characteristics of vegetation

- Leaves (their function, leaf area density)
- Aerodynamic characteristics

III. Energy balance

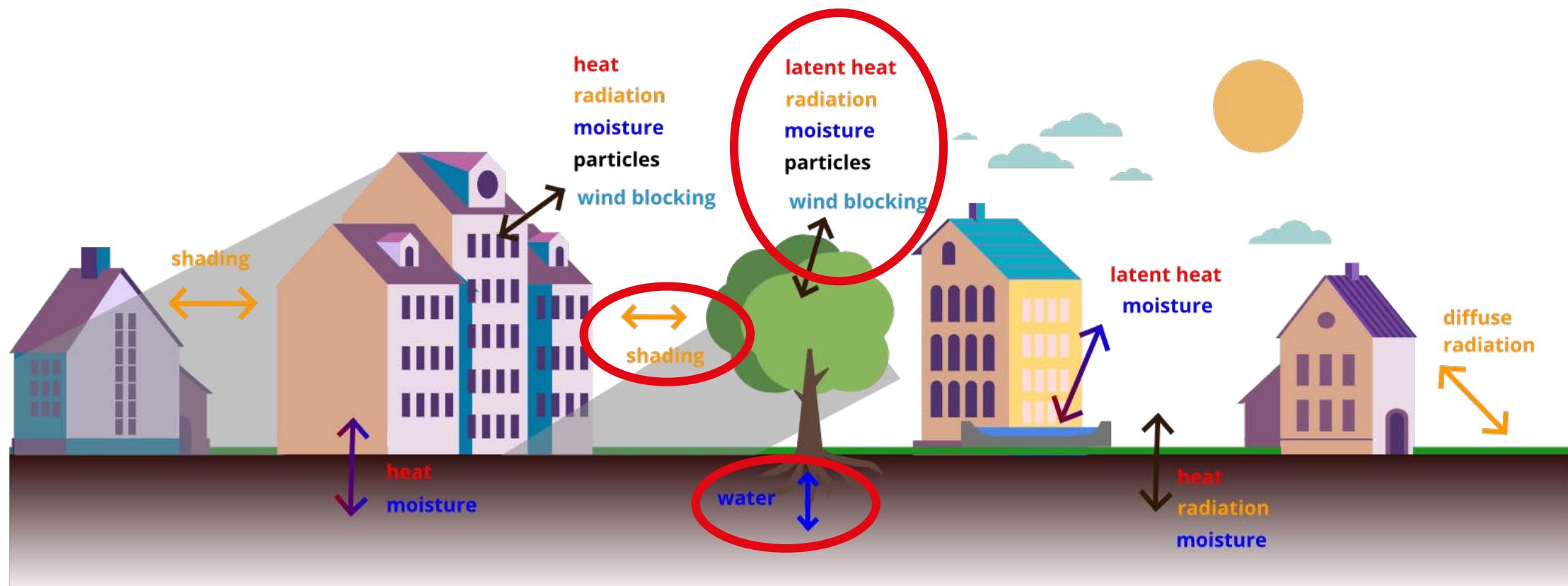
- Radiative properties, solar radiation
- Longwave radiation

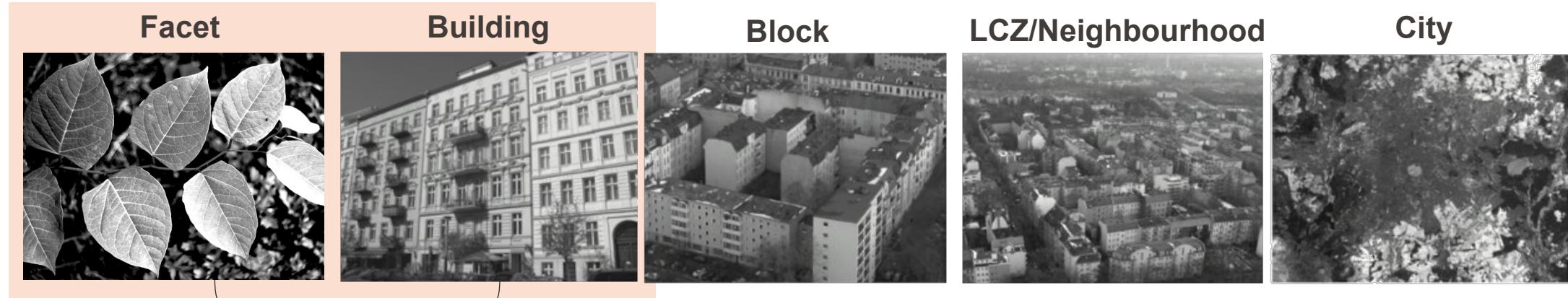
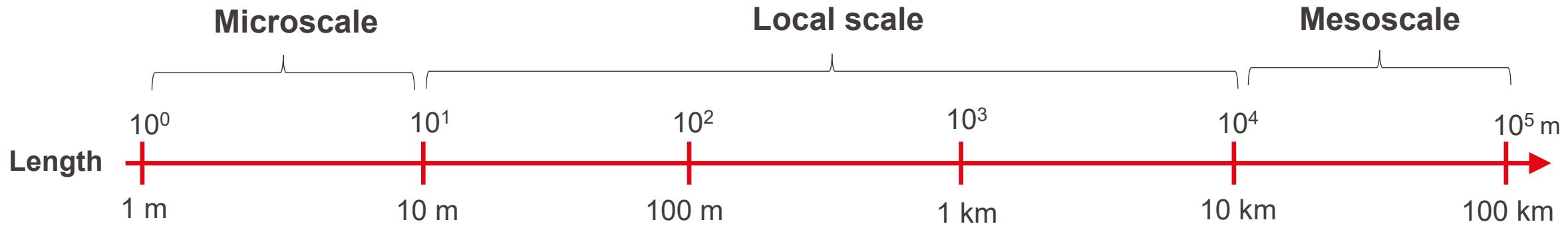
IV. Evapotranspiration

V. Summary

EPFL Vegetation - Environment Interaction: Introduction

- Vegetation has a *complex dynamic interaction* with the **atmosphere** above it. This is influenced by its interaction with the **ground** (it takes water supply from the ground).
- Vegetation interacts *indirectly* with the *other elements* of the urban environment through **shading**.
- Vegetation blocks the wind, receives radiation from the Sun and dissipates the heat absorbed by via **sensible heat**, **latent heat**, and the release of **moisture**.





- **Homogeneity vs. heterogeneity** of urban properties (the *smallest* homogeneous urban unit – *an urban block*)
- Spatially, something **homogeneous** at a *one length scale* might be **heterogeneous at another** (3D vs 1D treatment of atmospheric properties at the building scale and city scale)

- **Green infrastructure (GI):** “*the strategically planned network of natural and semi-natural areas with other environmental features designed and managed to deliver a wide range of ecosystem services*” (European Commission 2013).
- **Green infrastructure characteristics:**
 - A network of different ecological systems (natural and artificial)
 - Can be implemented at different spatial scales
 - Able to provide a wide set of services
- **Green infrastructure typologies** have different effects, spatial and time span
- **Green infrastructure** must be *diversified* and *spatially distributed*

Synthesis of the contribution of green infrastructure to regulating air temperature at different spatial scales:

Parameter	Tree cover	Urban parks	Green roofs	Vertical greenery system
Mechanisms of air temperature regulation				
Reduction of reflected solar radiation	+	++	+	
Provision of the shade	++	+		+
Cooling by evapo-transpiration	++	++	+	+
Regulation of wind speed	+	++		+
Spatial scale				
Urban	++	++		
Local	++	++	+	
Building	+		++	++

Source: Palme, Urban microclimate modelling for comfort and energy studies, p. 407

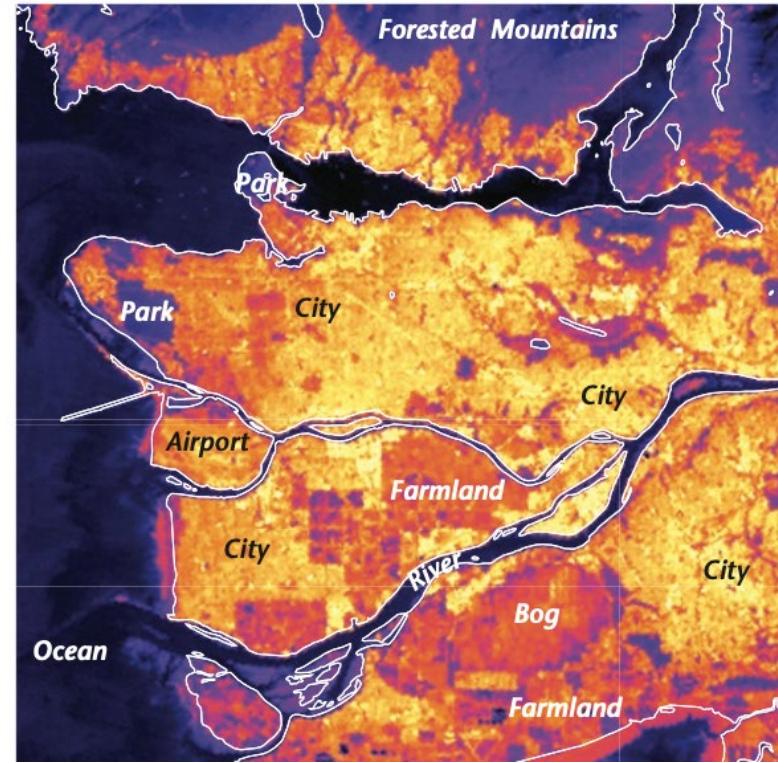
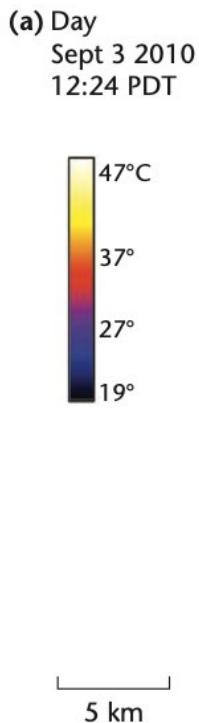
EPFL UHI mitigation using vegetation

- **Urban vegetation** is an important source of **evaporation** and **shading** (only trees) contributing to reduction of the UHI effect. The magnitude of the UHI mitigation effect depends on *the amount of green space, its size, shape and distribution, and its composition*.
- **Vegetation contributes to 2 out of 3 UHI mitigation strategies:**
 1. Reduction of the **absorbed solar radiation** by **shading**:
 - Radiative temperature decreases
 - Improvement of outdoor thermal comfort
 2. Increase of the **latent heat** and take advantage of **evaporative cooling of air** on vegetated and water areas (the most effective and less costly strategy)
 3. Reduction of the amount of sensible heat stored by selecting materials or paintings with higher albedo



EPFL UHI mitigation using vegetation

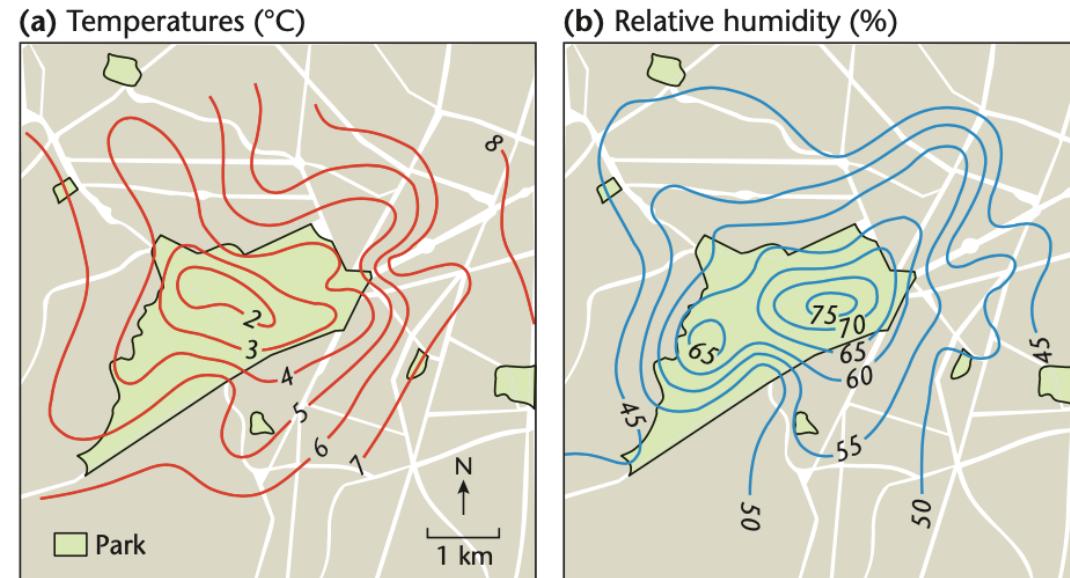
- **City-scale:** city is a unit with no distinction between its components.
- Reduction in air temperature at city-scale correlated with percentage of areas with **plant cover**.
- **Trees** are the *most effective* type of plants for UHI mitigation.
- There is a strong *correlation* between **surface temperature** and **plant cover**.
- For dry cities, there is a trade-off between using vegetation and irrigating it and making *alternative uses of water*. Greater water shortage in the future is expected.
- Vegetation submitted to **water shortage** is useless for temperature regulation.



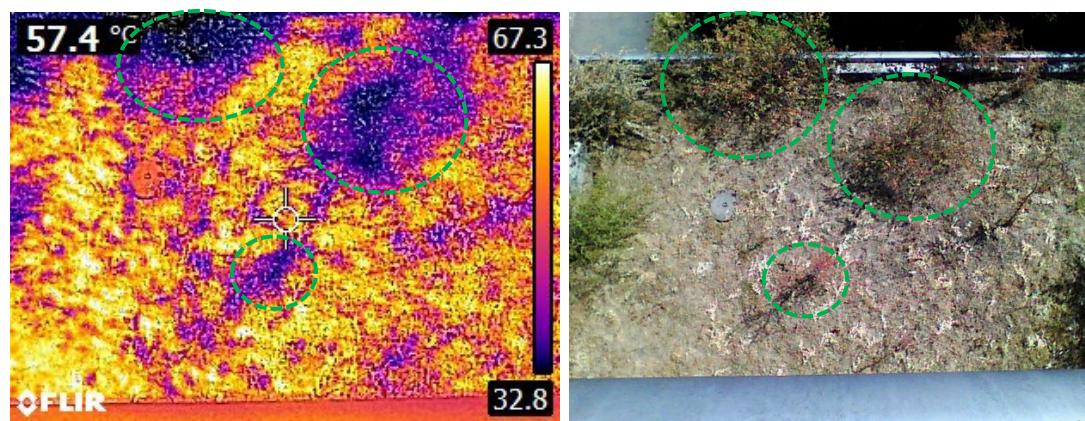
EPFL UHI mitigation using vegetation: Local effect

9

- Vegetation affects the surrounding urban area *locally* as its effect is **spatially limited**.
- Large scale **urban parks** over 10 ha can have an average **1-2°C temperature drop** on the surroundings that are ~350 m away.
- Urban **penetration of vegetation effect** is not only dependent on the park characteristics but also on the *morphology of the surroundings*. Surroundings with plants and grass cover are more impacted by parks.
- For urban penetration, *several smaller distributed parks* are preferable to a *few large isolated parks*.
- *Individual pieces* of vegetation have *weak local effect*. Large parks have larger influence (together with water bodies), they heat slower (due to the higher thermal inertia).

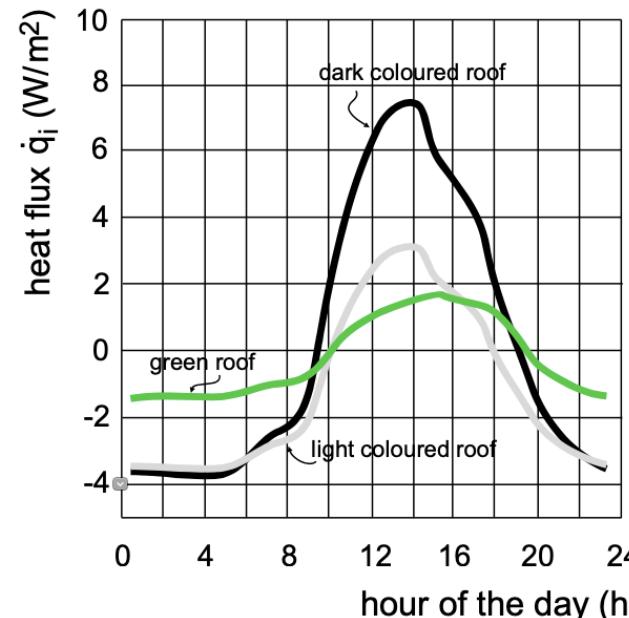
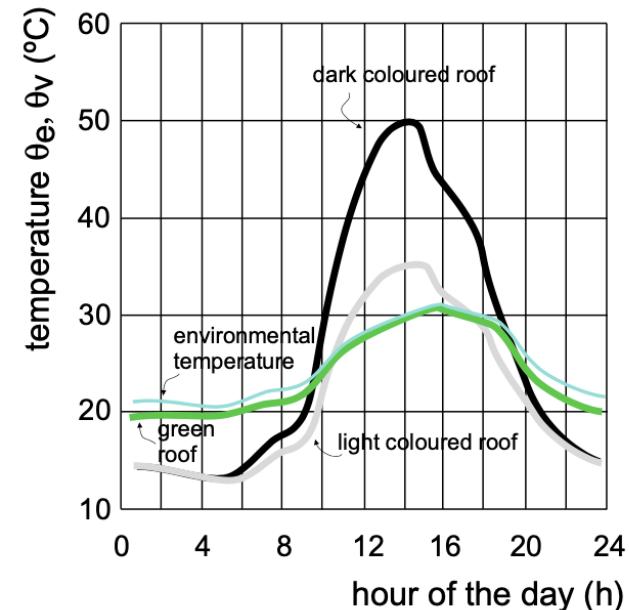


Source: Oke, Urban Climates, p. 439



Trees at the EPFL campus, August 2022 (noon), RGB and IR pictures taken from the roof

- **Greening buildings** have the advantage of decreasing heat transfers in/out building by increasing their **thermal inertia**, provide them *shading*, retain *precipitation*.
 - They improve buildings energy efficiency
- Although disputed, green buildings also have an impact on the urban microclimate by **increasing evapotranspiration in summer**.
 - It results in lower air temperature and increased air moisture, hence decreasing heat captured and stored in buildings.
- **Plant species** must be chosen **according to the desired effect in a specific climate**.
 - Succulent, herbaceous, broadleaved with different colors *behave differently*.
- Plants like moss, grass and herbs ($LAI < 1$) are privileged because they don't require attention.



Source: Medved, Building physics, p. 69

Vegetation and Air Quality

- Leaves exchange gases and water vapor with the ambient atmosphere.
- Vegetation is a **sink** or **source** of CO₂ proportional to vegetation density.
- Healthy mature tree** in large canopy can **sequester ~90 kg of carbon per year** while a **young tree** in a small canopy can sequester **only 2% of that**.

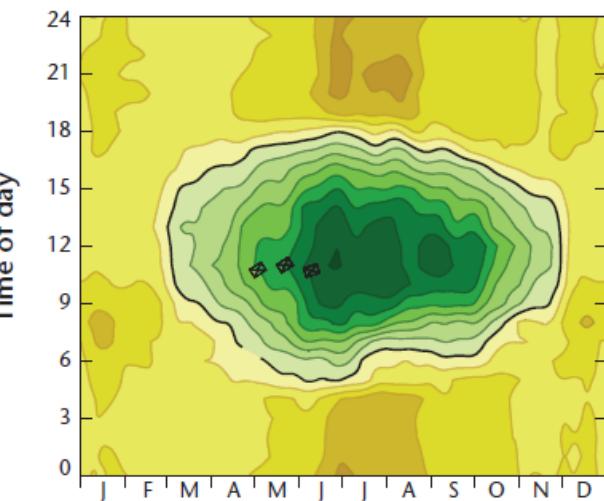
Example: Chicago urban forest (50 million trees) sequesters 140,000 tons of carbon per year = one week emission by transportation.

- Carbon emissions** are **offset** only **during summer at midday**.
- Vegetation absorb pollutants **but** they also **emit own pollutants** (pollen), creating unfavourable smog.

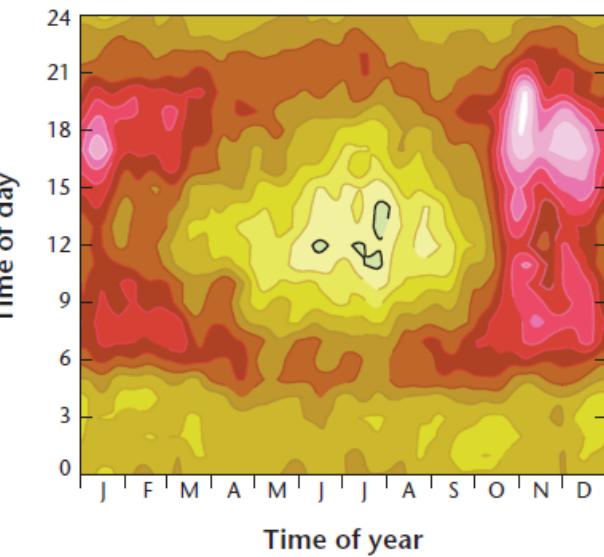


Source: [webpage](#)

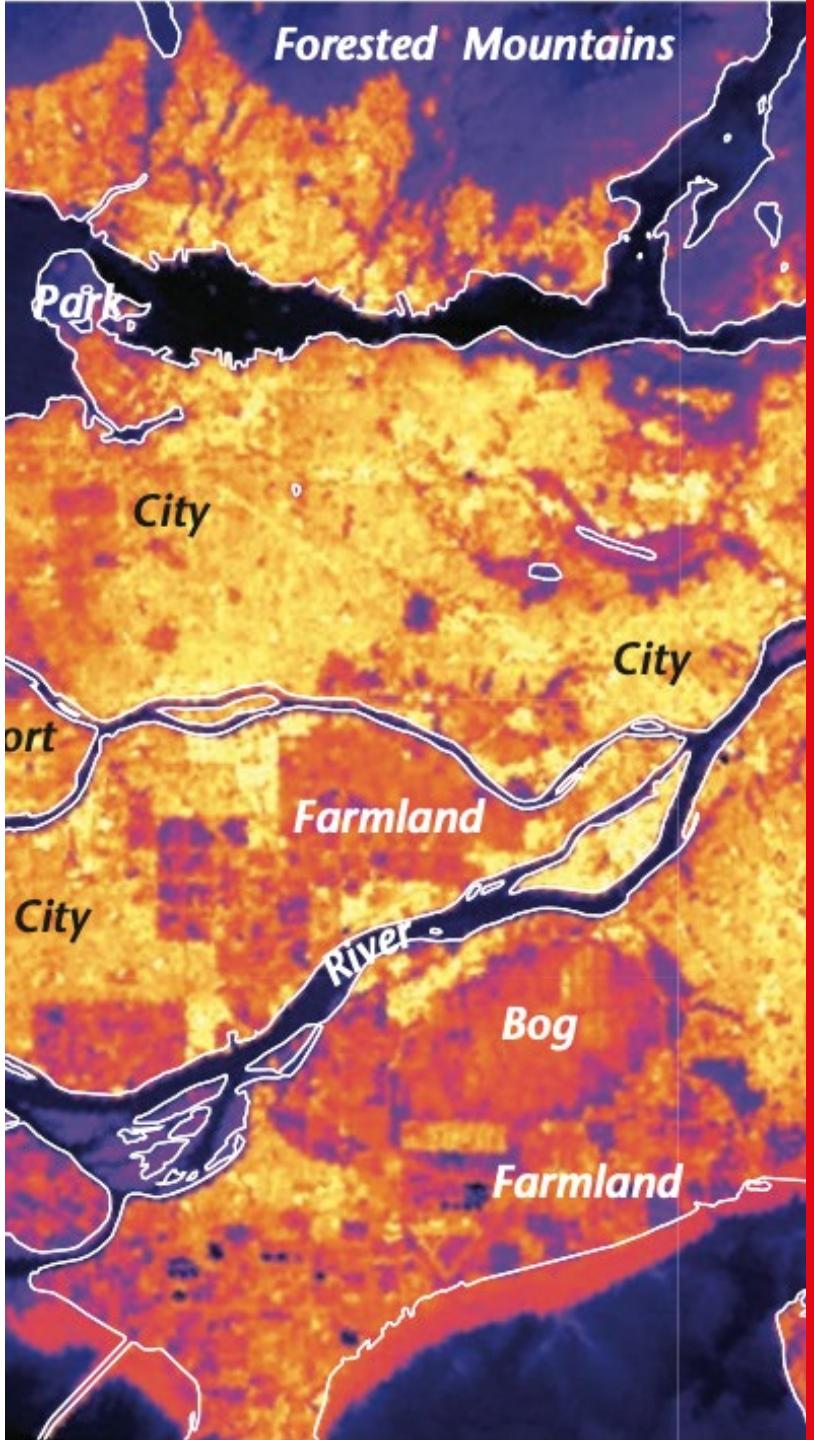
(a) Baltimore Cub-Hill (38°N, LCZ 6)



(b) Basel - Klingenbergrstrasse (48°N, LCZ 5)



Source: Oke, Urban Climates, p. 373



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- Aerodynamic characteristics

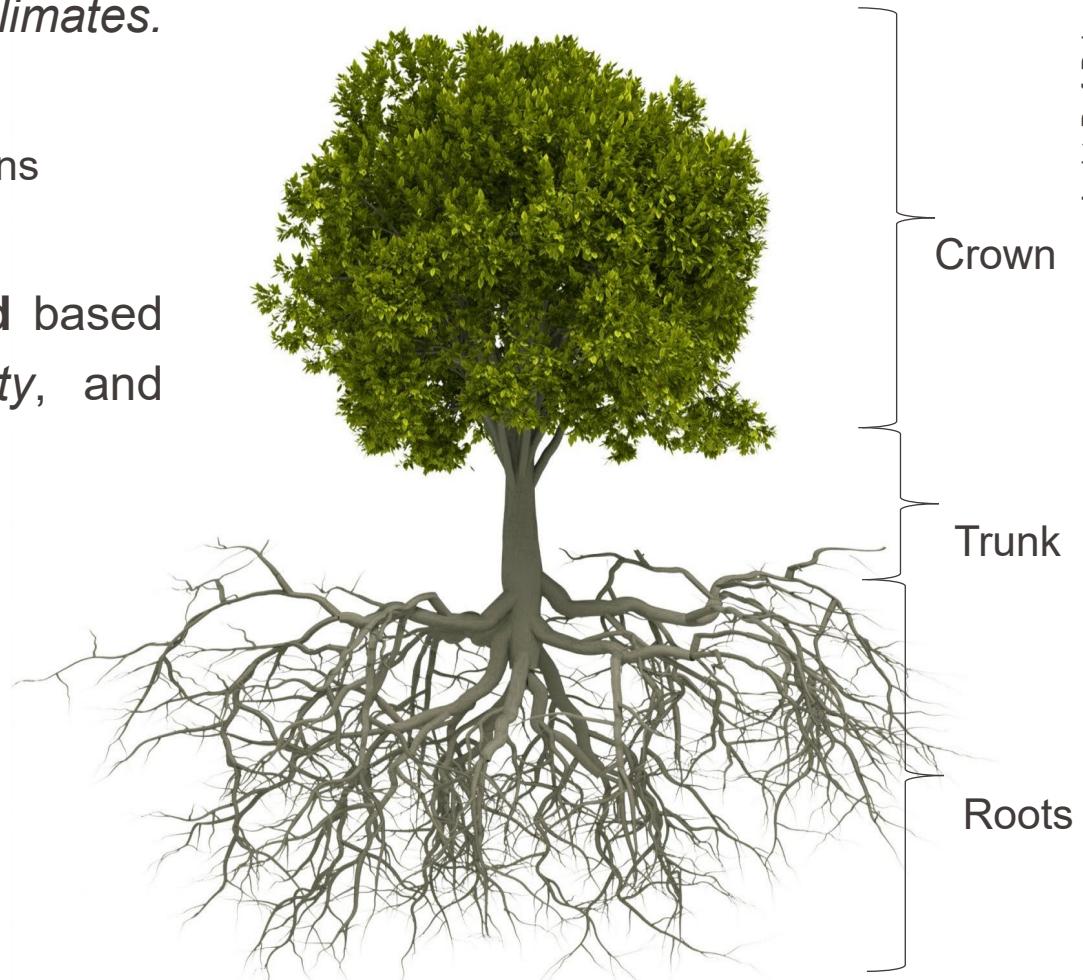
III. Energy balance

- Radiative properties, solar radiation
- Longwave radiation

IV. Evapotranspiration

V. Summary

- Plant species are typically **adapted** to different *climates*.
A major **climatic distinction** between species:
 - **Deciduous plants**: change their aspect with seasons
 - **Evergreen plants**: always keep the same aspect
- In *urban environment*, **the species are selected** based on their *tolerance to drought, poor air quality, and reduced light*
- It is better to choose plant species according **to the desired effect** (e.g., hedges and shrubs for wind shelter near ground)
- **Plant characteristics** depend on:
 - **Plant architecture**: canopy form, foliage density, branch and roots
 - **Plant physiology**: species, age, health

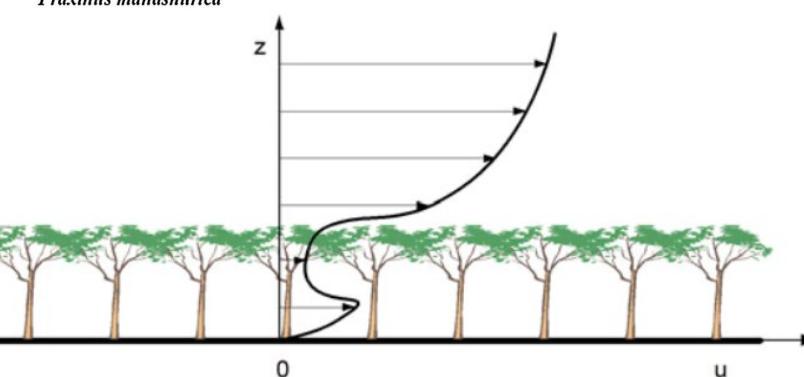
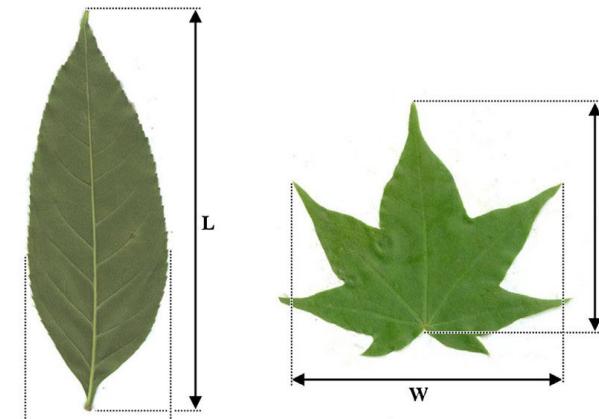
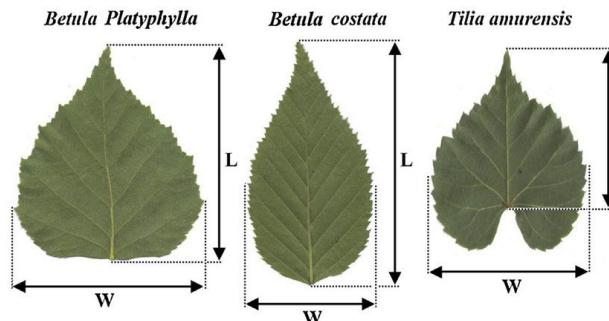


- **Leaves** are the *most active* elements of vegetation interacting with the surrounding atmosphere
- **Characteristic leaf size l (m)**: average of the longest measure of a leaf length
- **Aerodynamic resistance r_a ($\frac{s}{m}$)**: resistance opposed by the leaf to the wind flow

$$(8-1) \quad r_a = C \cdot \sqrt{\frac{l}{|\bar{U}|}} \quad \begin{aligned} C & \text{ - a leaf-specific constant} \\ |\bar{U}| & \text{ - wind velocity magnitude (m/s)} \end{aligned}$$

- **Drag coefficient C_d (-)**: dimensionless number that quantifies the resistance of an object in a fluid. Usually for trees, $C_d = 0.2$.

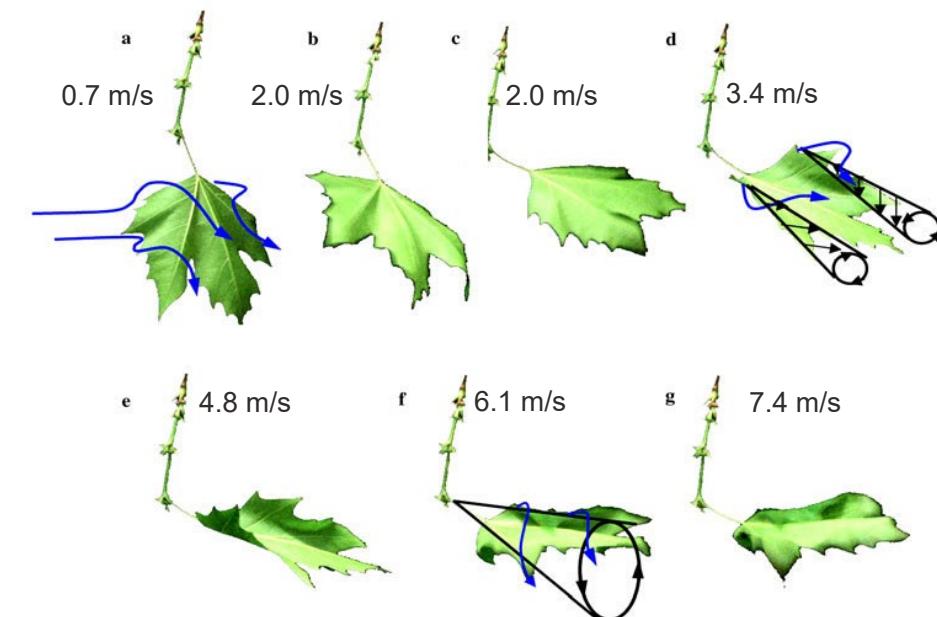
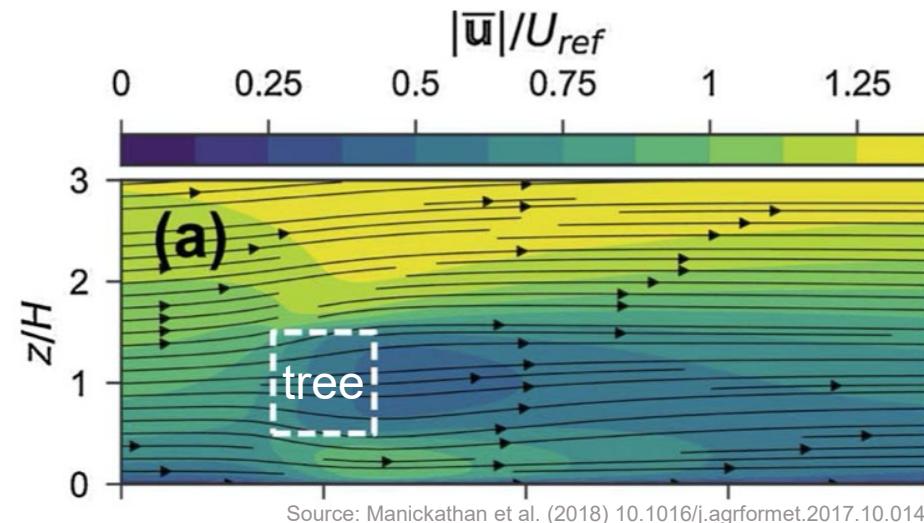
Images from: [websource](#)



Source: Rodrigues, Fundamental Principles of Environmental Physics, p.20

Vegetation: Aerodynamic Characteristics

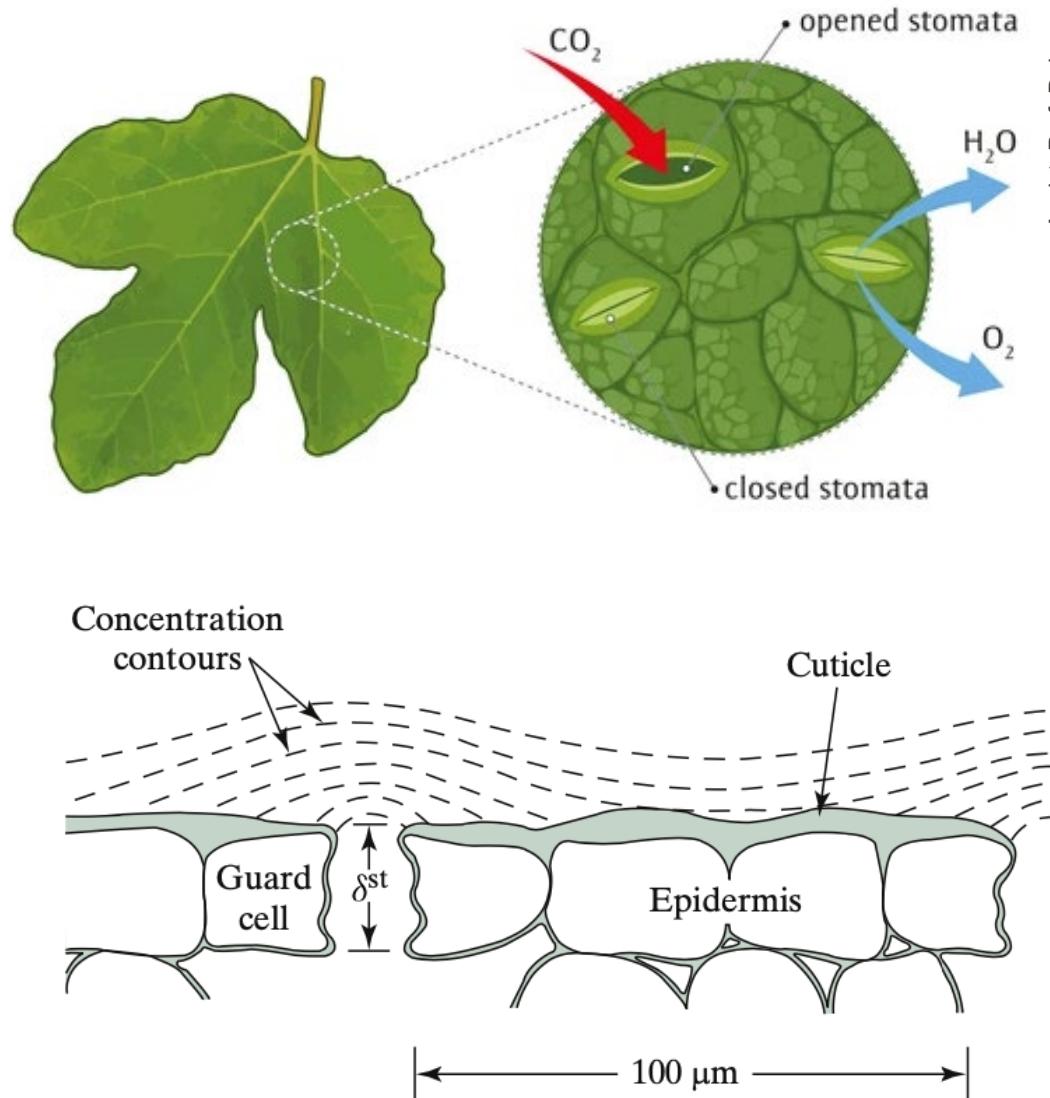
- Interaction of leaves with air flow determines the transport and mixing of various atmospheric parameters (T, RH, pollution, pollen, CO₂, etc.).
- Vegetation reduces the wind speed:
 - Trees: decrease wind speed by ~30%.
 - Grass (h=0.5 m): decrease wind speed by ~12%.
- Vegetation is porous:** airflow is **slowed down**, not blocked, leading to *more effective* and *extended wake*.
- Vegetation (e.g., tree leaves) *under the wind effect* tends to bend due to its **elasticity**, returning back to its initial position when the force is removed.
 - However, stiff parts of vegetation (e.g., branches, trunk) tend to break under strong winds (particularly gusts).
- The aerodynamic effect of vegetation is *spatially limited*, thus, has only *local effect*.
- Ideally, the required type of vegetation should be selected based on the expected flow pattern and the urban neighborhood.**



Wind induced deformation and vibration of a *Platanus acerifolia* leaf
Shao et al. (2012) DOI: 10.1007/s10409-012-0074-y

Vegetation: Leaf Characteristics

- **Stoma or stomate**: pore and surrounding two guard cells that form a pathway for gases and water vapour *in and out* the leaf.
- Stomata open to absorb atmospheric CO_2 for photosynthesis. The opening of the stomata induces the inevitable loss of water vapor (= **transpiration**).
- **Stomatal resistance r_s** ($\frac{s}{mm}$): describe *the opening state* of the stoma and its ability to exchange, for instance, water with the air.
- **Stomatal conductance g_s** ($\frac{mmol}{m^2 s}$): *inverse* of the stomatal resistance, expresses the rate of a matter exchange.
- The opening of the stomata depends on the exterior *climatic conditions*. Stomata close in hot dry conditions.



- Leaves area **LA** (m^2): area of the **upper faces** of leaves within a vertical cylinder of $(z - h_0)$ height

$$(8-2) \quad LA(z) = \int_z^{h_0} A(z) dz$$

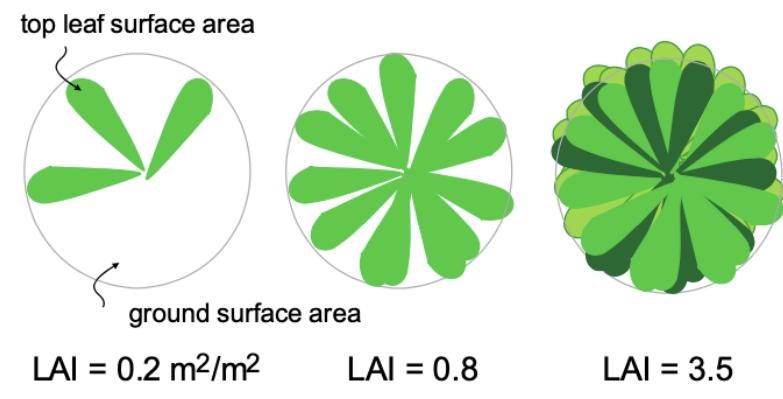
$A(z)$ – foliage area density function over the height, h_0 (m) - height of the crown top

- Leaf area index **LAI** ($\frac{m^2}{m^2}$): leaves area per **unit projection area (GA)** on the ground

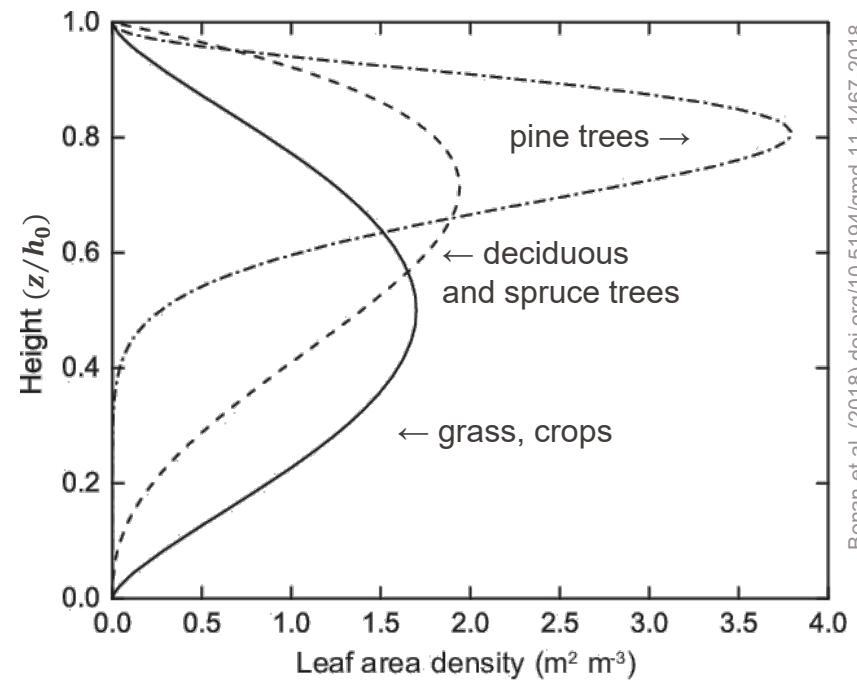
$$(8-3) \quad LAI(z) = \frac{LA(z)}{GA}$$

- LAI varies with species, foliage density, and growth rate (age)
- For barren land $LAI = 0 \frac{m^2}{m^2}$, for dense forest $LAI \approx 8 \frac{m^2}{m^2}$
- Leaf area density **LAD** ($\frac{m^2}{m^3}$): area of **upper faces** of leaves (1/2 of the total leaf area) per **unit volume**
- Plant area index **PAI** ($\frac{m^2}{m^2}$): overall **area** of the plant *within a vertical cylinder of unit cross-section*, including both the leaf area index (LAI) and the woody-element area index (WAI)

$$(8-4) \quad PAI = LAI + WAI$$

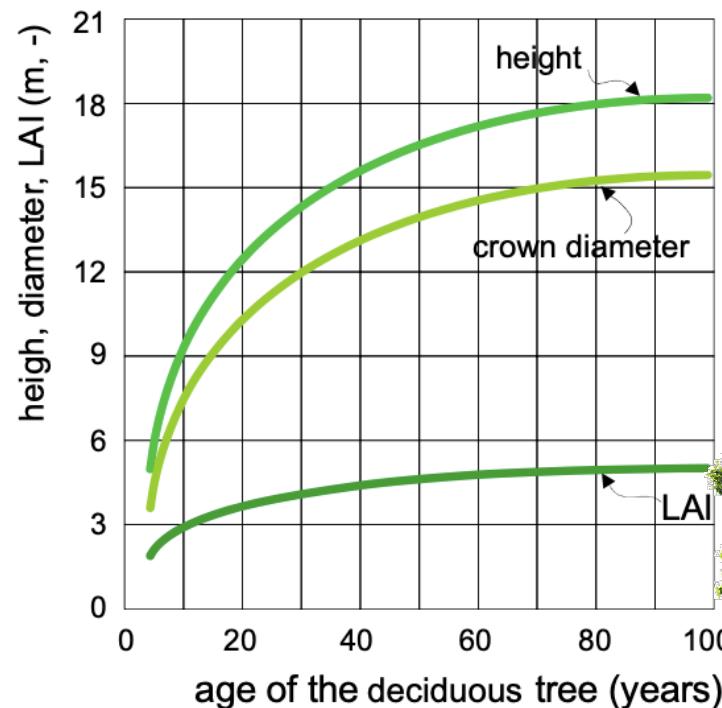


Source: Medved, Building Physics, p. 68

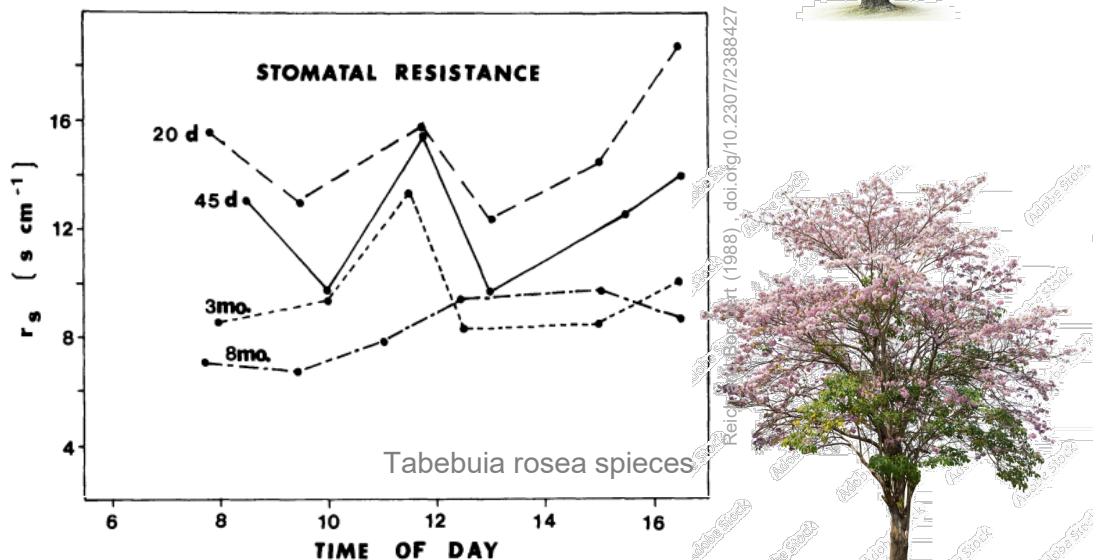
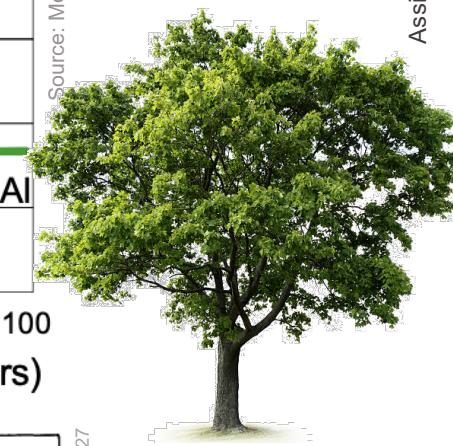


Vegetation: Characteristics

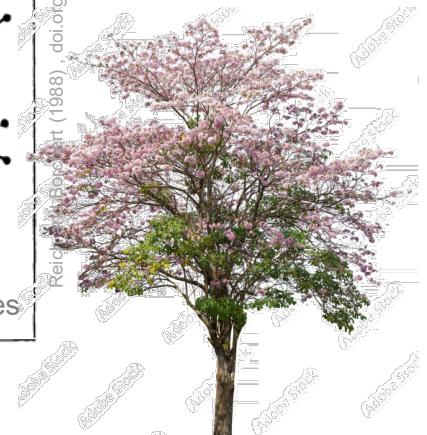
- Vegetation properties are not constant, they vary with:
 - Season** (e.g., LAI of deciduous trees is zero during winter but not null outside of the winter season)
 - Weather** (e.g., under strong solar irradiation, stomatal pores close themselves)
 - Plant species** (e.g., the characteristic leaf size varies with plant species)
 - Plant age** (e.g., young plants tend to have a higher stomatal resistance than more mature ones)
 - Plant experience** (e.g., the growth of a plant growth depends on exterior factors)



Source: Medved, Building Physics, p. 464

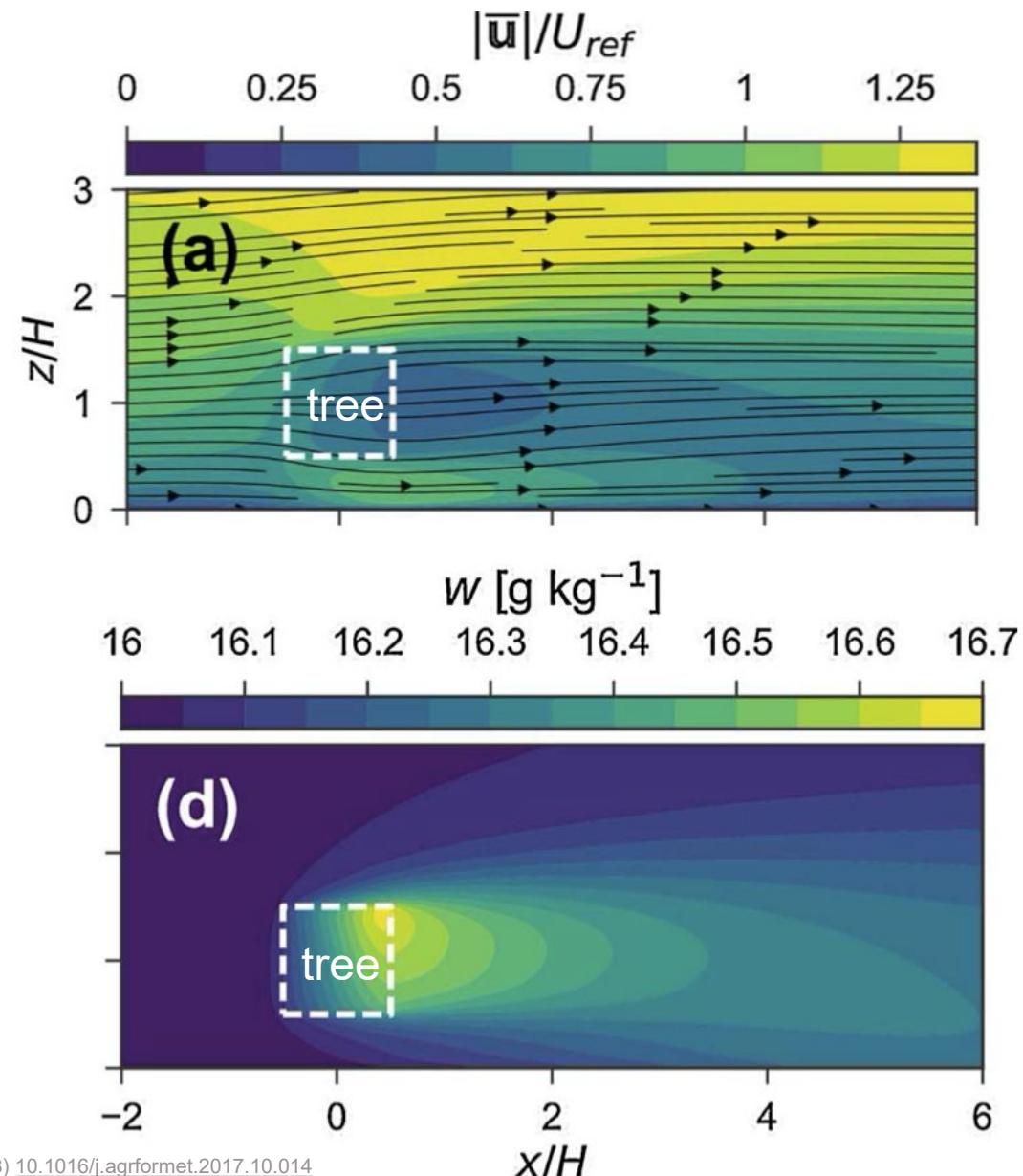
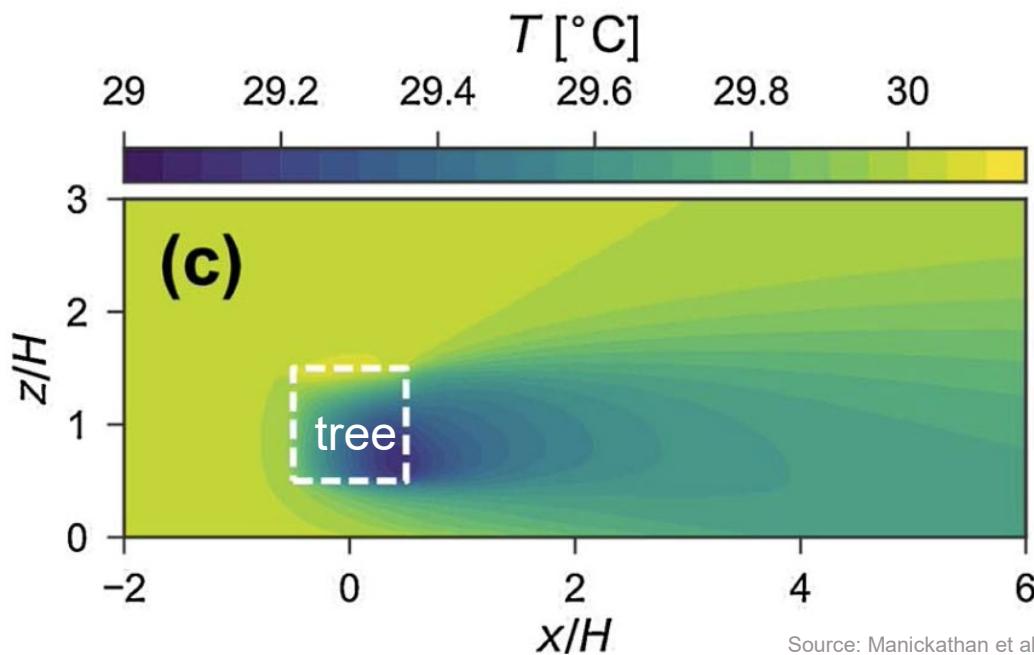


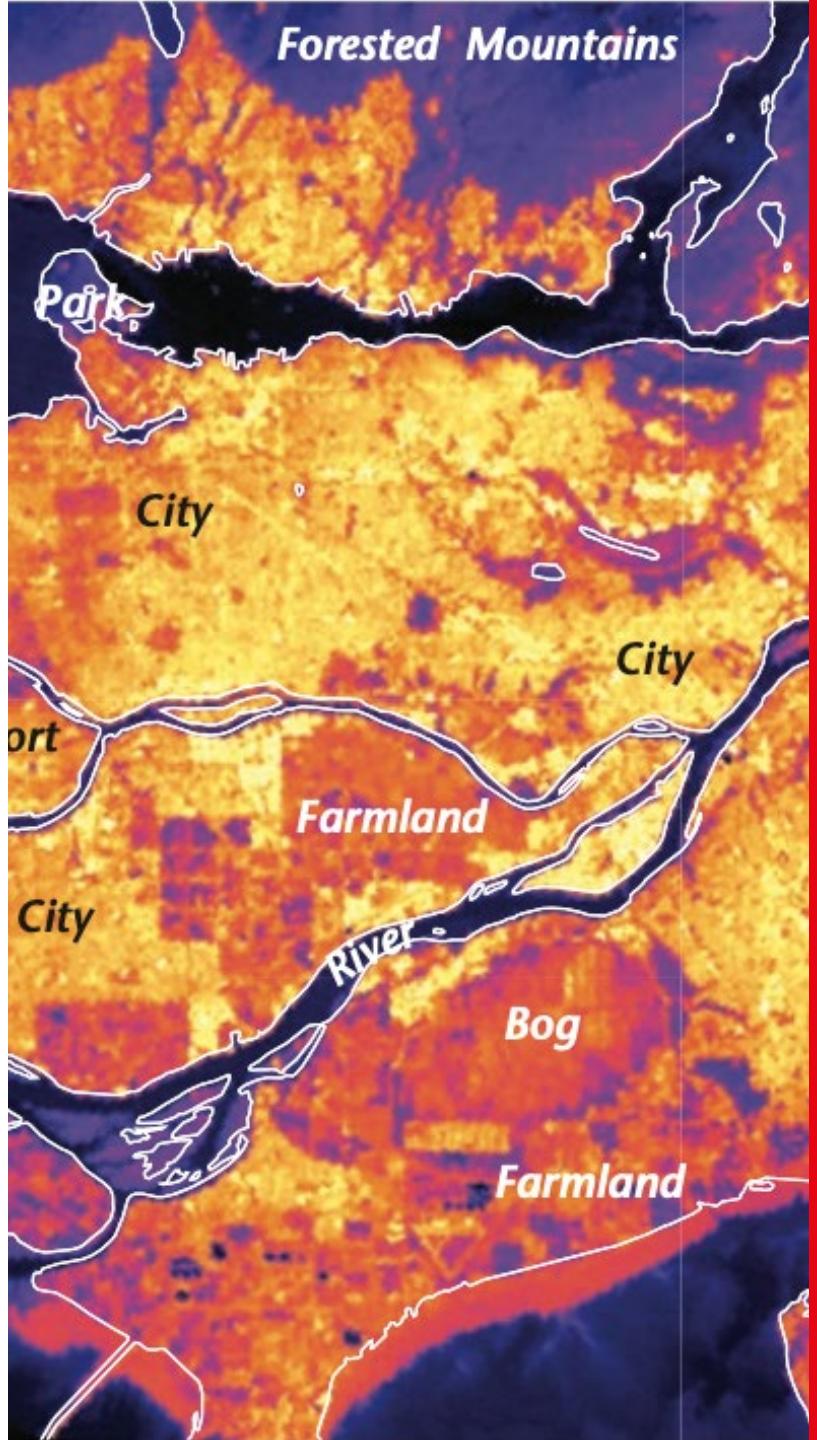
Reich, W. B. (1988). doi.org/10.2307/2388427



Vegetation: Modelling Approach

- Plants are modelled using the **sink/source term approach** - the plant is a source of humidity, a sink of momentum (=velocity), a source or a sink of temperature, CO₂, etc.
- For trees, **only the crown** is considered as *an active part* (source or sink)





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- **Longwave radiation**

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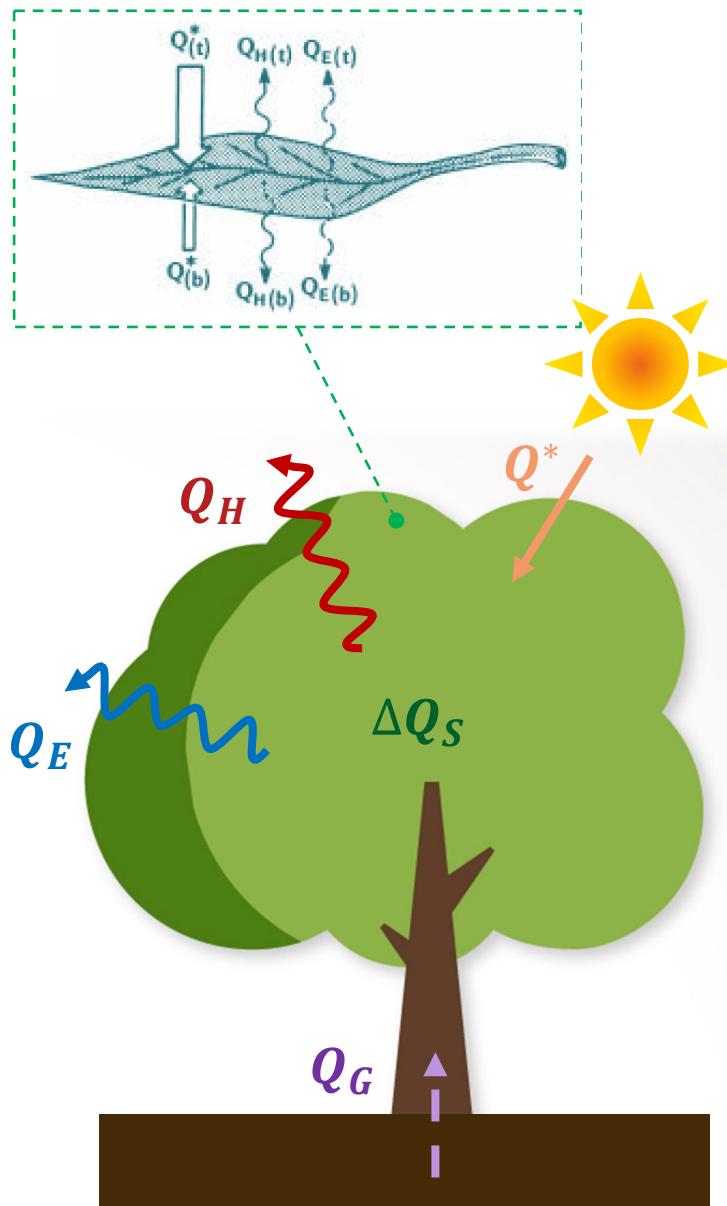
V. Summary

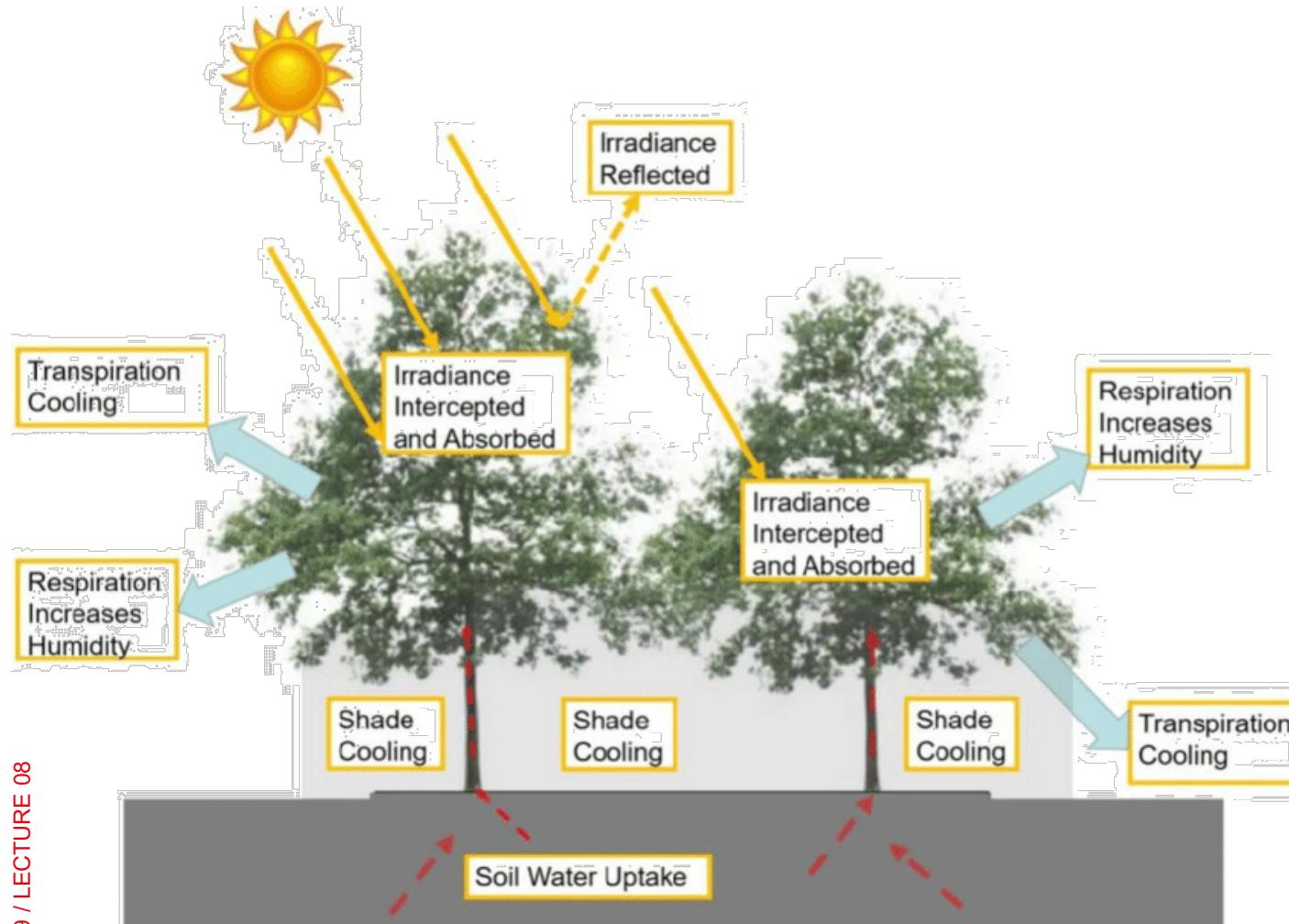
- Vegetation interacts with the atmosphere, the Sun, the ground and other urban elements including buildings.
- The vegetation (**a plant canopy**) interaction with its environment is always *at balance*. It is the sum of **radiation budget**, **sensible heat**, **latent heat**, ground heat and stored heat.

$$Q^* = Q_H + Q_E + Q_G + \Delta Q_S \quad (1-3a)$$

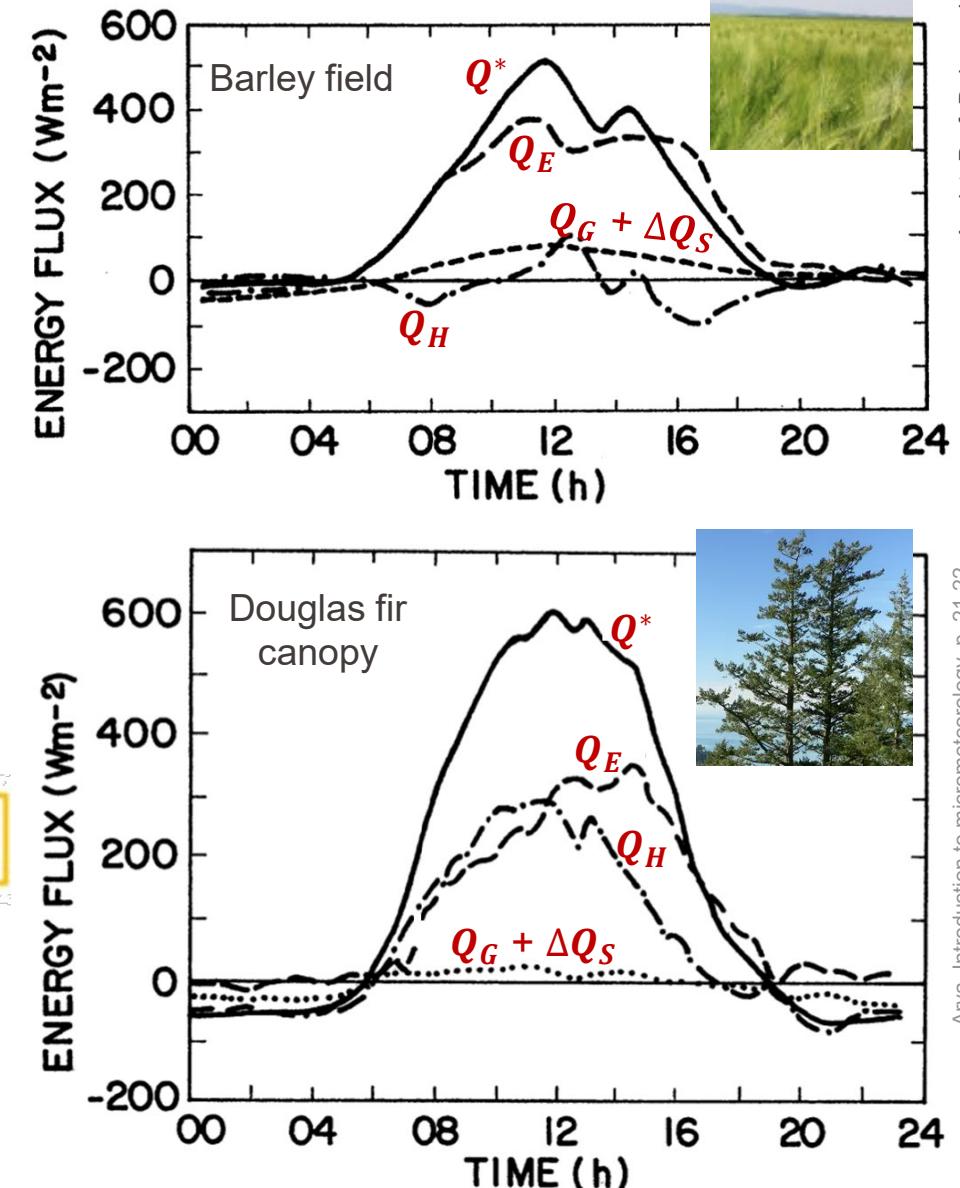
Radiation budget
 Sensible heat
 Latent heat
 Ground heat
 Stored heat

- Vegetation latent heat Q_E is *controlled* by the **water supply** which mainly comes from the ground
- Energy storage** ΔQ_S = *physical* heat storage + *biochemical* heat storage
- All heat fluxes follow a **diurnal variation** (increase after sunrise and decrease with the lack of direct solar radiation at night)
- Incident solar heat flux** is the **main driver** of all heat fluxes.





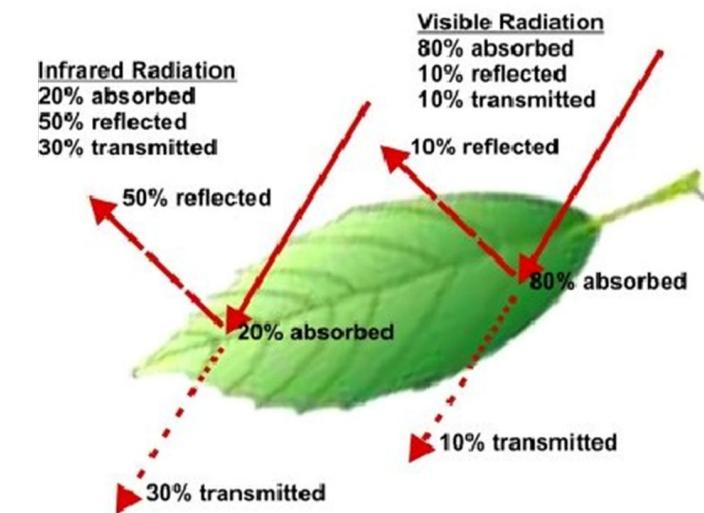
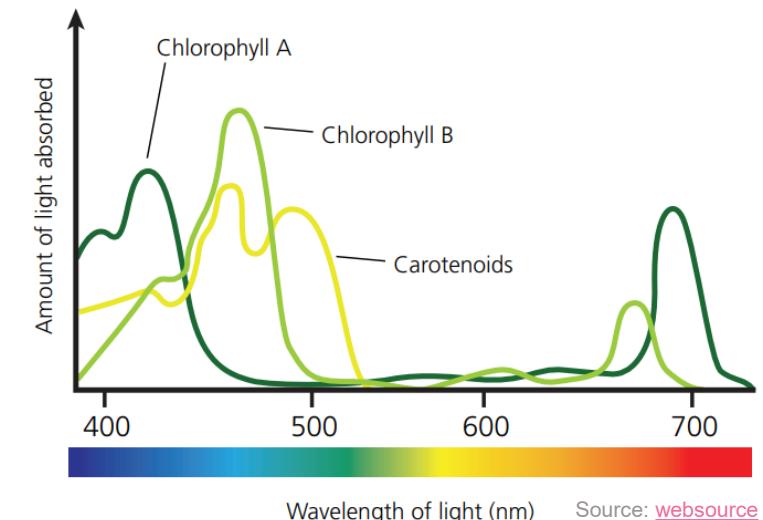
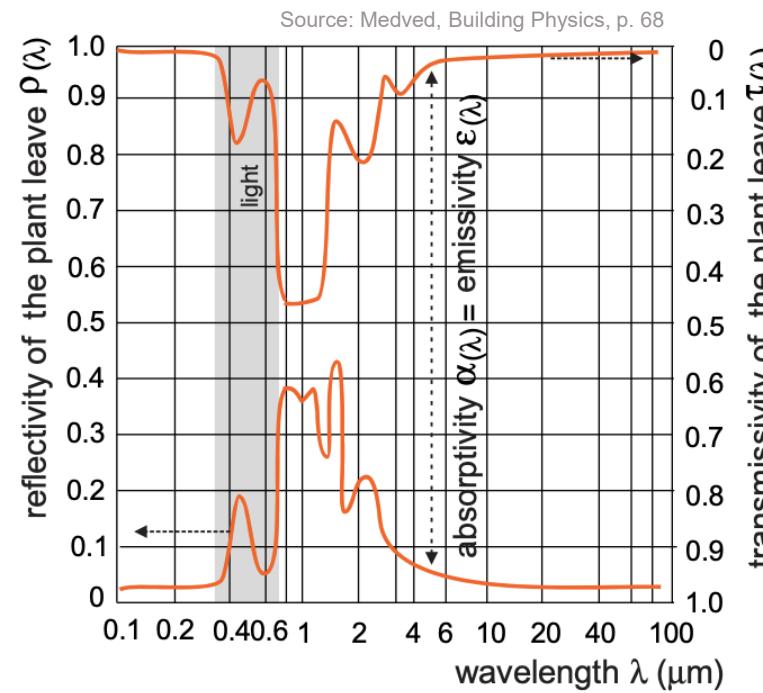
■ CIVIL-309 / LECTURE 08

Source: Zhang et al/ (2019) [10.3934/environsci.2019.6.417](https://doi.org/10.3934/environsci.2019.6.417)

Vegetation: Radiative Properties

- Green vegetation **reflects shortwave solar radiation more effectively** than man-made structures.
- Leaves **absorb only solar radiation** in the wavelengths *involved in photosynthesis* (~0.4-0.5 and ~0.6-0.7 μm), green light (~0.5 μm) is reflected.

- High reflectivity** of the *thermal part of solar radiation* ($0.78 < \lambda < 3 \mu\text{m}$) and **high emissivity** and **absorptivity** of thermal radiation ($\lambda > 3 \mu\text{m}$) lead to **reduced overheating** of the leaves and performing them as **micro sunshades**.

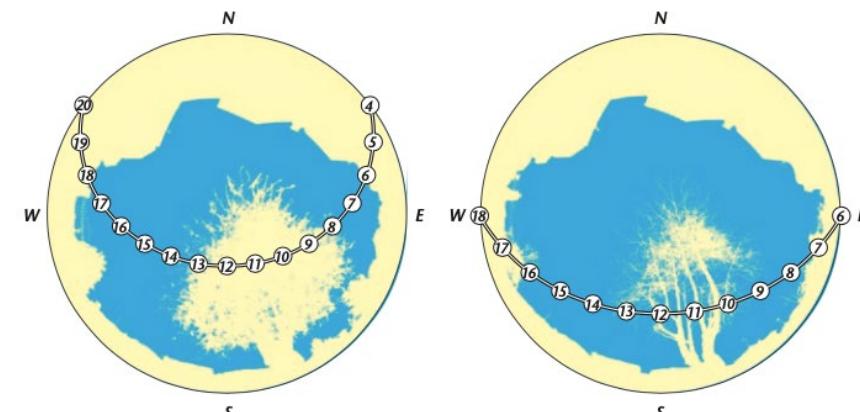


- **Shading** keeps the air and **surface temperature cooler** by *intercepting* solar radiation, *preventing energy absorption* by urban surfaces and re-radiation of heat to the upper urban elements.
- **Shading area** created by vegetation depends on the **size of leaves, crown area, and the leaf area index**:
 - **Trees and shrubs** create large shaded areas
- **A canopy foliage attenuates the solar radiative flux**:
 - **Deciduous species**: transmit **10-30%** in summer (50-80% in winter), ideal for cold winters and hot summers.
 - **Coniferous species**: transmit **10-30%** year round.
- **A canopy foliage changes its spectral composition**:
 - **Selective absorption** of visible light by leaves reduces the *photosynthetic value* of the solar radiation as it penetrates through the depth of the canopy
 - **Sunflecks vs. shaded areas**: the spectrum is similar to that of incident total radiation in **sunflecks**, while **NIR (near-infrared)** dominated in **shaded areas**.



(a) Leaves-on

(b) Leaves-off



S

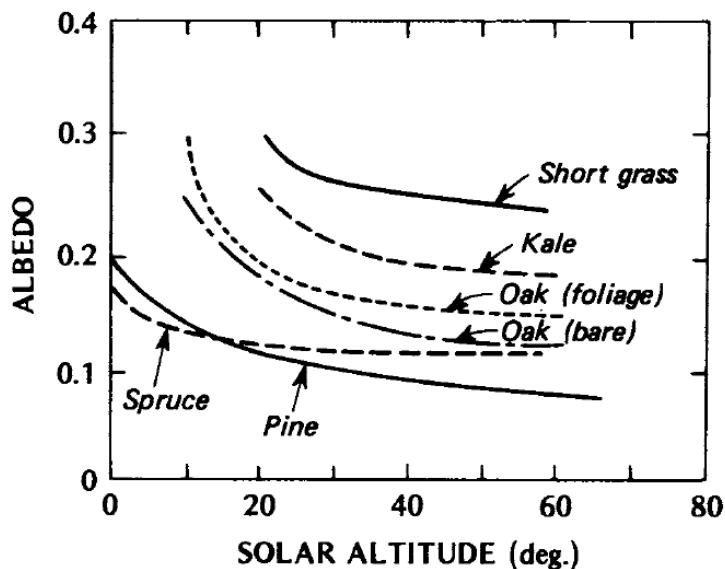
S

- The **transmission** of **incoming shortwave radiation** into a plant canopy shows approx. **exponential decay with depth of penetration** depending on:
 - Leaf density (leaf area index **LAI**)
 - Solar altitude angle (reflectivity \uparrow for normal incoming radiation)
- The Beer-Bouguer law for shortwave radiation:**

$$S(z) = S(h_o) \cdot \exp[-\alpha \cdot LAI(z)] \quad (8-5)$$

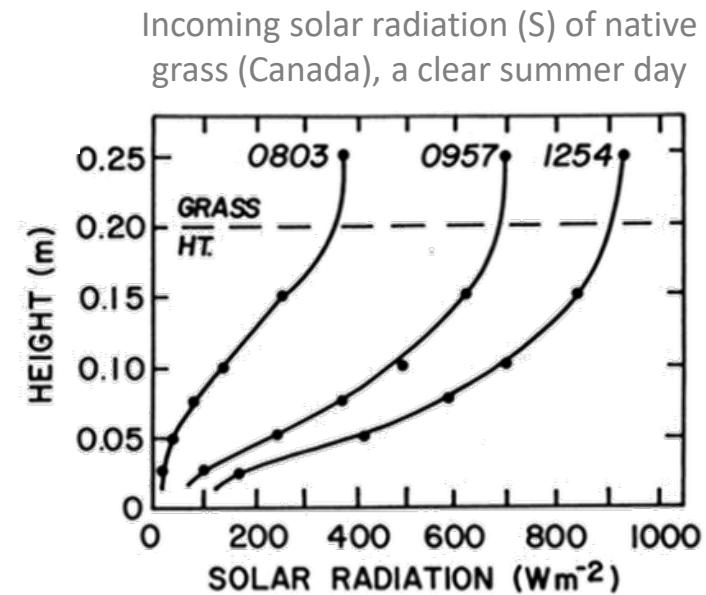
α (-) - extinction coefficient (varies diurnally),

h_o (m) - top of the canopy (overall height), **LAI** (m^2/m^2) – leaf area index

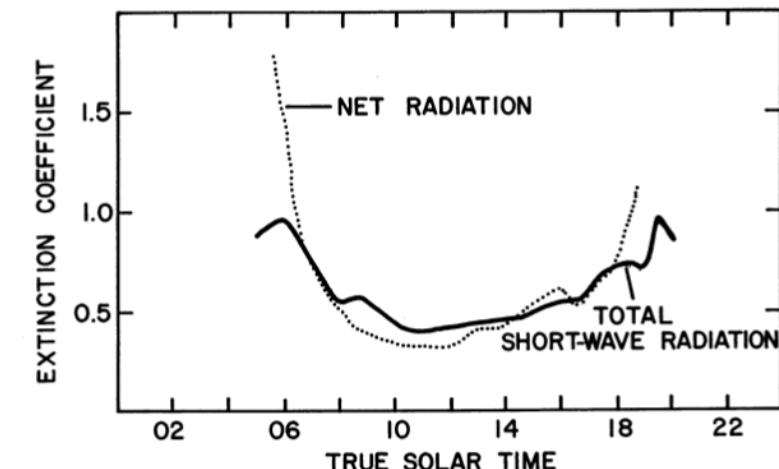


Shortwave reflectivity (albedo) of a plant canopy is *lower* than the value for its *individual leaves* because of the reflection dependence from the penetration, radiation trapping, and mutual shading within the canopy volume.

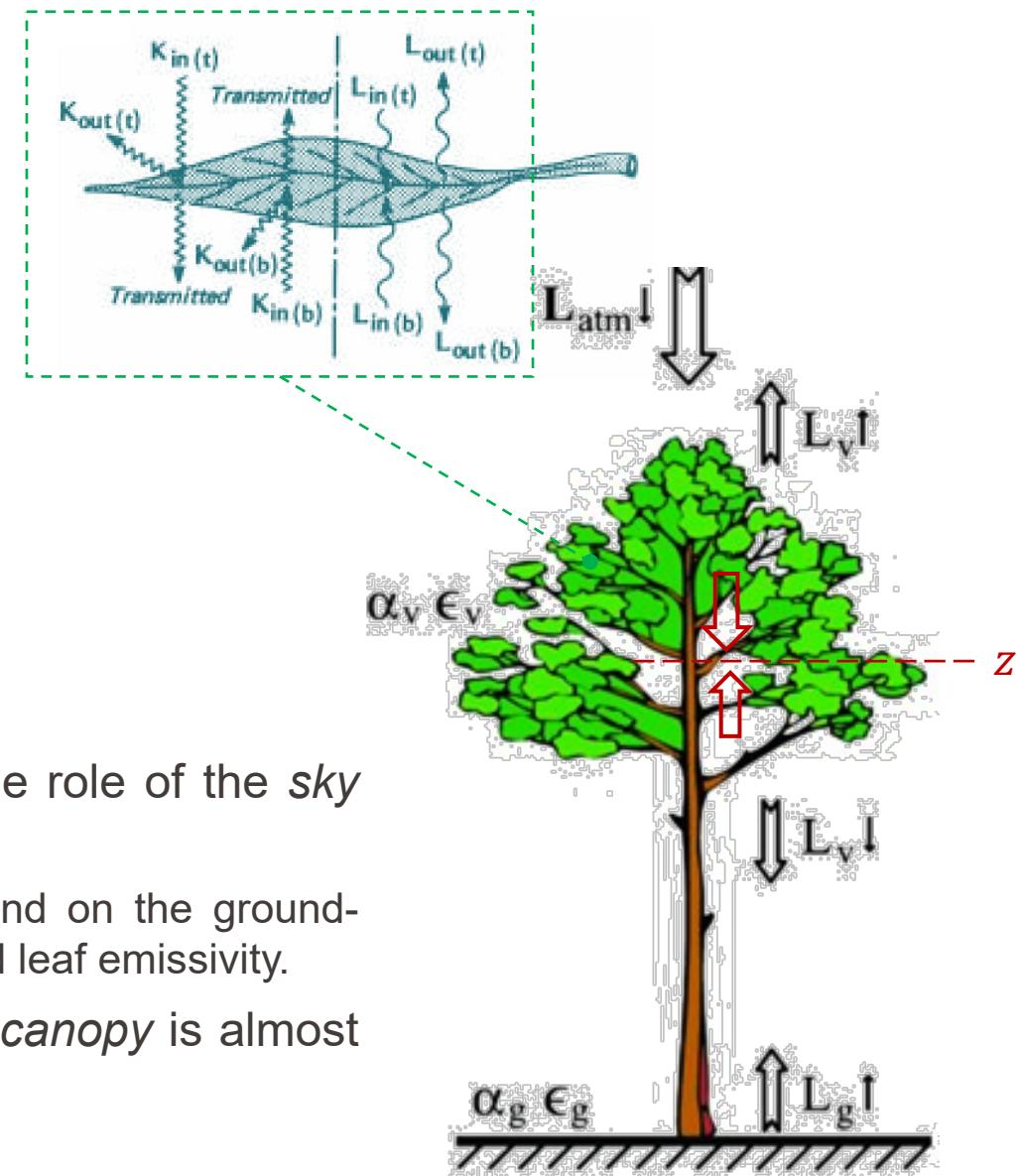
Most leaves have $\alpha = 0.3$, but the albedo of plants is a function of their **height**, **age**, and **solar altitude**.

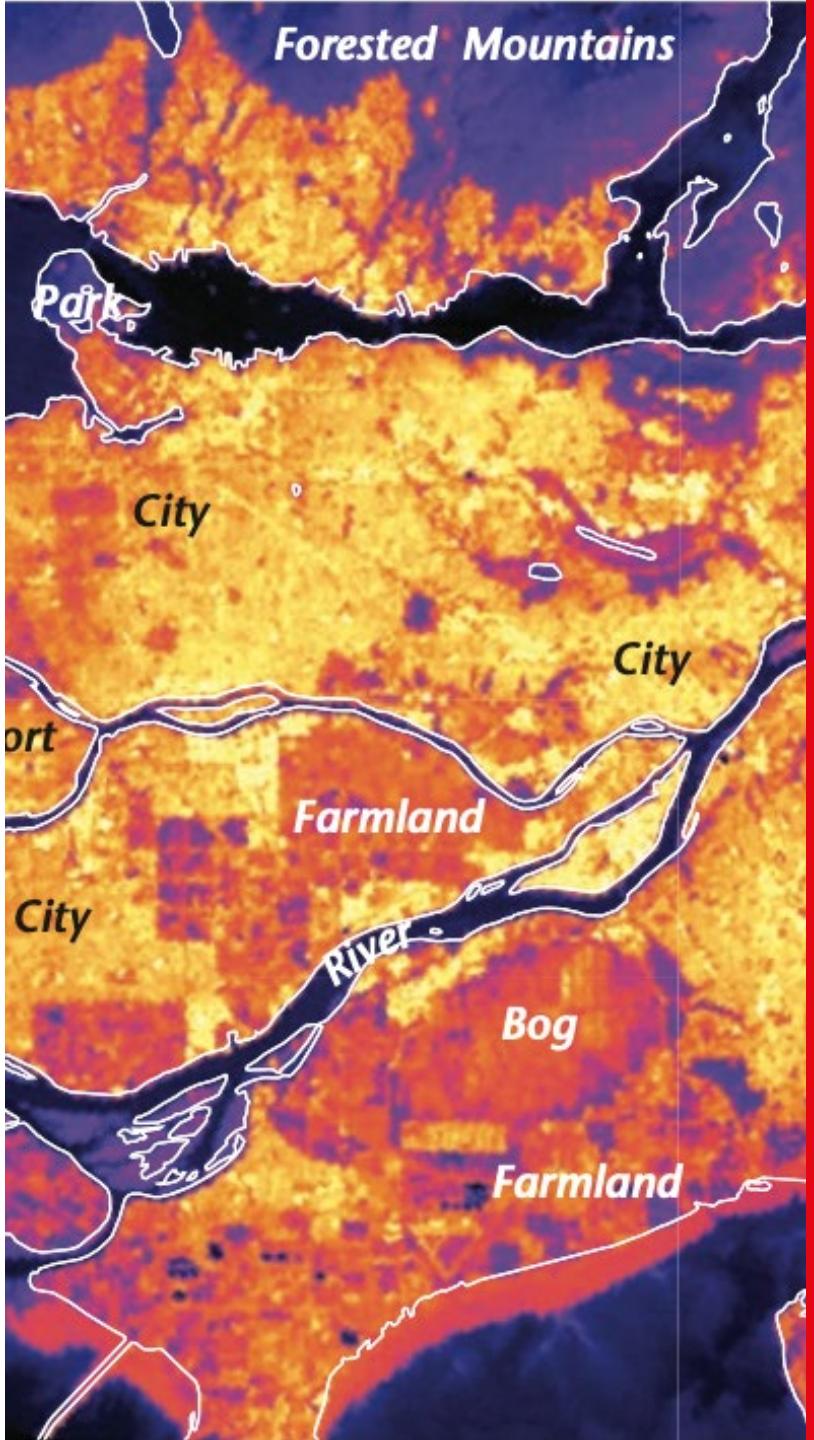


Diurnal variation of S and Q^* extinction coefficients for native grass (Canada)



- The net longwave radiation at any height within a plant canopy has 4 components:
 1. From the atmosphere penetrated through the *upper layer of the canopy* without interception
 2. From *the upper leaves* and *other canopy elements*
 3. From *the lower canopy elements*
 4. Part of *outgoing radiation from the ground* not intercepted by lower canopy elements.
- For the **bottom of tall and dense tree canopy**, the role of the sky becomes insignificant.
 - The longwave radiation exchange is primarily depend on the ground-surface temperature, foliage temperature, ground and leaf emissivity.
- The net longwave radiation L^* at the *top* of a *plant canopy* is almost always a *loss* ($L^* < 0$).





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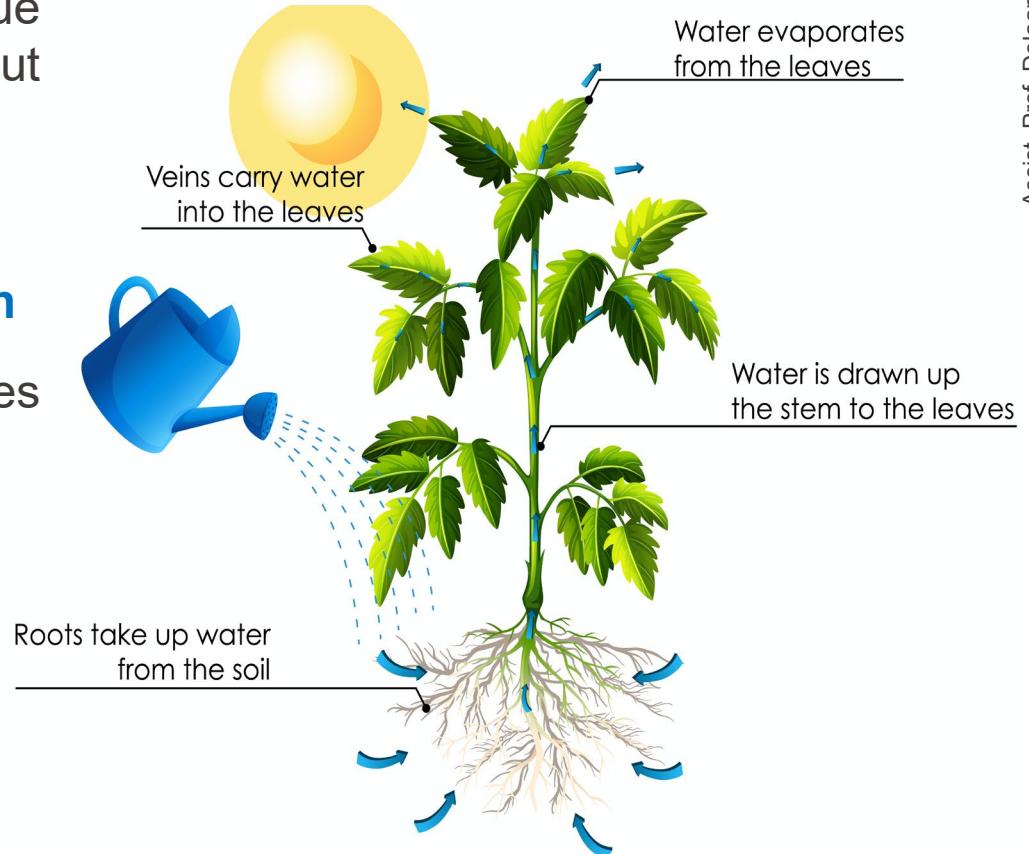
- Radiative properties, solar radiation
- Longwave radiation

IV. Evapotranspiration

V. Summary

Vegetation: Evapotranspiration

- Latent heat exchange with vegetation happens due to **evaporation** or **condensation** at the surface but also due to **transpiration** from *the plant leaves*.
- **Evapotranspiration** =
evaporation of soil humidity + plant transpiration
- **Transpiration** is *water loss* through plant's pores (stomata). Transpiration depends on:
 1. Water availability
 2. Plant type
 3. Atmospheric conditions
- **Drivers of evapotranspiration:**
 - **Stomata opening** for photosynthesis
 - **Moisture deficit** (the drier the adjacent air, the more the transpiration).
 - **Wind speed** (determines aerodynamic resistance)
 - **Incoming radiation**. The more radiation, the less transpiration.



- Latent heat flux density Q_E (W/m^2) for plants:

$$Q_E = L_v \cdot ET \quad (1-6b)$$

$$Q_E = L_v \cdot \mathbf{ET}_0 \cdot LAI \quad (8-6)$$

L_v (J/kg) – latent heat of vaporization

ET [$mm/(m^2 \cdot h)$] - amount of water that evaporates from $1 m^2$ of green area per hour

ET_0 [$mm/(m^2 \cdot h)$] - reference amount of evaporated water

LAI [m^2/m^2] – leaf area index

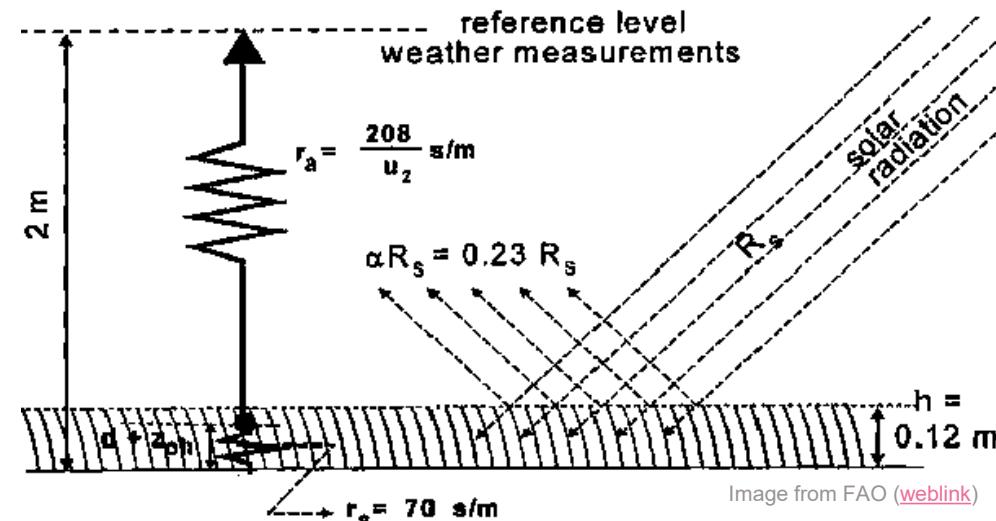
- The reference amount of evaporated water ET_0 in [$mm/(m^2 \cdot h)$] is determined for:

- 1 m^2 of hypothetical meadow with a well-watered grass height of 12 cm
- Aerodynamic resistance $r_a = 208/U_2$ s/m
- Surface resistance $r_s = 70$ s/m
- Surface albedo $\alpha = 0.23$

- Empirical expression for ET_0 [$mm/(m^2 \cdot h)$]:

$$(8-7) \quad ET_0 = 0.0299 + 0.005 \cdot t_a - 0.0022 \cdot \varphi_a + 0.00058 \cdot \alpha_s \cdot S$$

t_a ($^{\circ}C$) - outdoor air temperature, φ_a (-) - relative humidity of outdoor air, S (W/m^2) - incident solar radiation, α_s (-) – solar absorptivity of the plant



For plants with sufficient watering in dry moderate climate:

- $ET_0 = 3 - 6 \frac{mm}{m^2 h}$ at $20^{\circ}C$
- $ET_0 = 6 - 10 \frac{mm}{m^2 h}$ at $30^{\circ}C$

Vegetation: Evapotranspiration under Water Stress

- Two major categories of plants with different transpiration mechanisms:

- C3 photosynthesis:** stomata remain opened during day, significant water volume transpired. Majority of plants in cold and humid climates (e.g., elm, soybean, rice..).
 - C4 photosynthesis:** stomata stay closed during day, water loss avoided, don't contribute to evapotranspiration. Majority of plants in hot and dry climates. (e.g., corn, sugarcane, etc.)

- In C3 plants, **water stress** affects the *amount of water transpiration during the day*, thus, their evaporative cooling effect.
- The stress coefficient k_s needs to be considered in determining the latent heat flux of plants in stress.

$$Q_E = k_s \cdot L_v \cdot ET_0 \cdot LAI \quad (8-7)$$

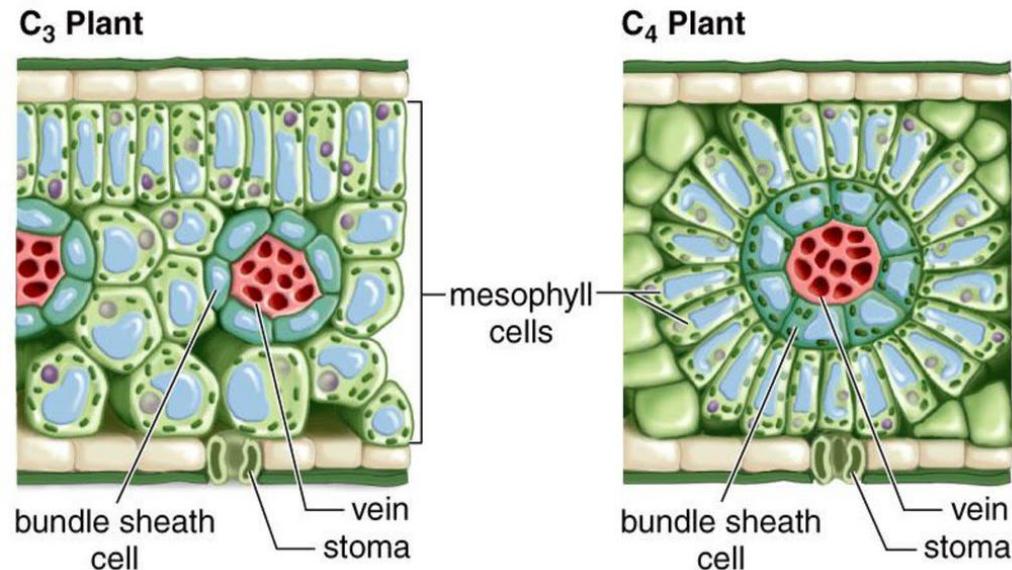
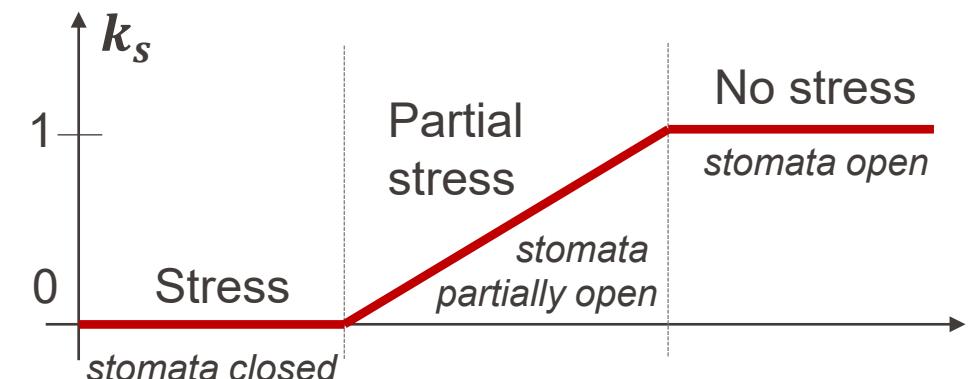
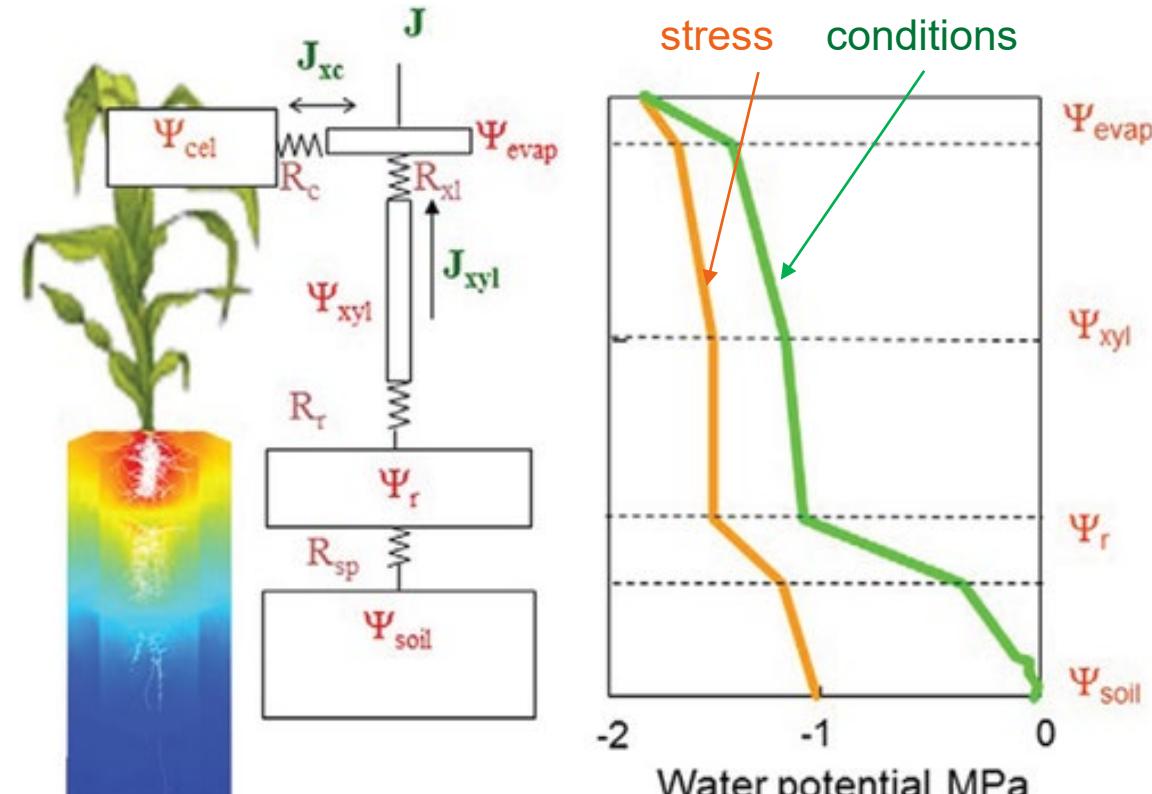


Image from [weblink](#)



Evapotranspiration: Root water uptake

- Usually, all water entering a plant transported from *the soil* via *roots*. It is *conducted up to the leaves*, mainly in the **xylem**.
- Water transport** faces *resistances* in each *element* and at each *interface* between all elements: soil, root, xylem, leaves, air.
 - For a **young root** of diameter 1 mm, uptake of water $\sim 1 \times 10^{-5}$ to $5 \times 10^{-5} m^3$ per day per meter of root length.
 - Influx of water enhanced by **root hairs** (often about $12 \mu m$ in diameter, up to 1 mm long with frequency from 0.5 to 50 per mm).
 - Older roots** lack root hairs, the water flux can be only 1% to 5% compared to the one of young roots.



Model of water transfer in the plant. The plant is represented by four compartments, each at a water potential (Ψ_r - roots; Ψ_{xyl} - xylem; Ψ_{evap} - sites of evaporation; Ψ_{cel} - leaf cells), separated by resistances R_{sp} between the soil and roots, R_r between the soil-root interface and the xylem, and R_{xl} from the xylem to the evaporation sites.

Evapotranspiration: Root water uptake

- Water volume flux density J_V ($m^3/(m^2 \cdot s)$) supplied to the plant (per Darcy's law in 1D):

$$J_V = -L_{soil} \cdot \frac{\partial P_{soil}}{\partial x} \quad (8-9)$$

L_{soil} [$m^2/(Pa \cdot s)$] - soil hydraulic conductivity coefficient,
 $\partial P_{soil}/\partial x$ (Pa/m) - hydrostatic pressure gradient in soil

- Volume flux density J_V in cylindrical coordinates ($r_a > r_b$):

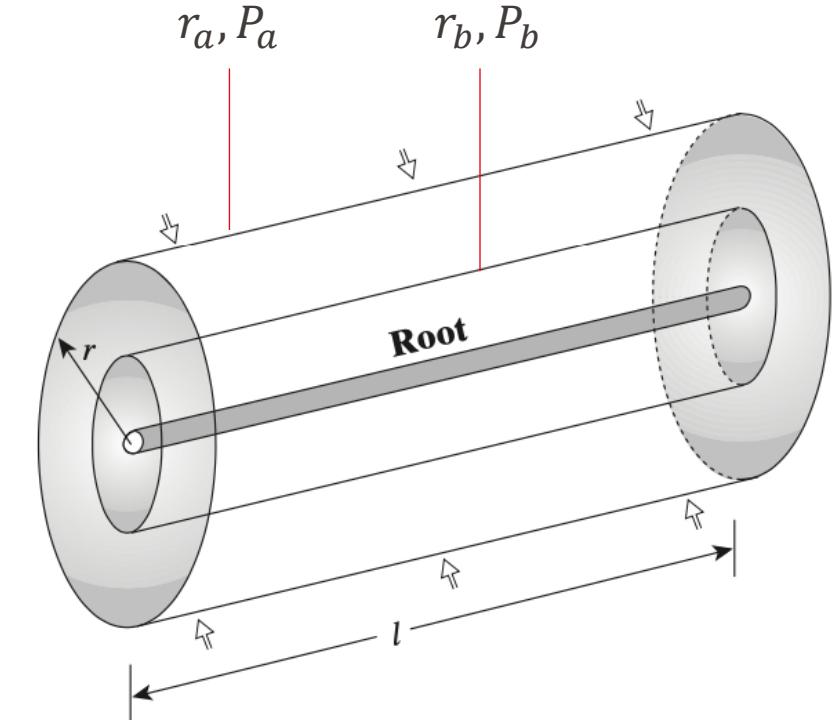
$$J_V = -\frac{1}{r_b} \cdot \frac{L_{soil} \cdot (P_a - P_b)}{\ln(r_a/r_b)} \quad (8-9a)$$

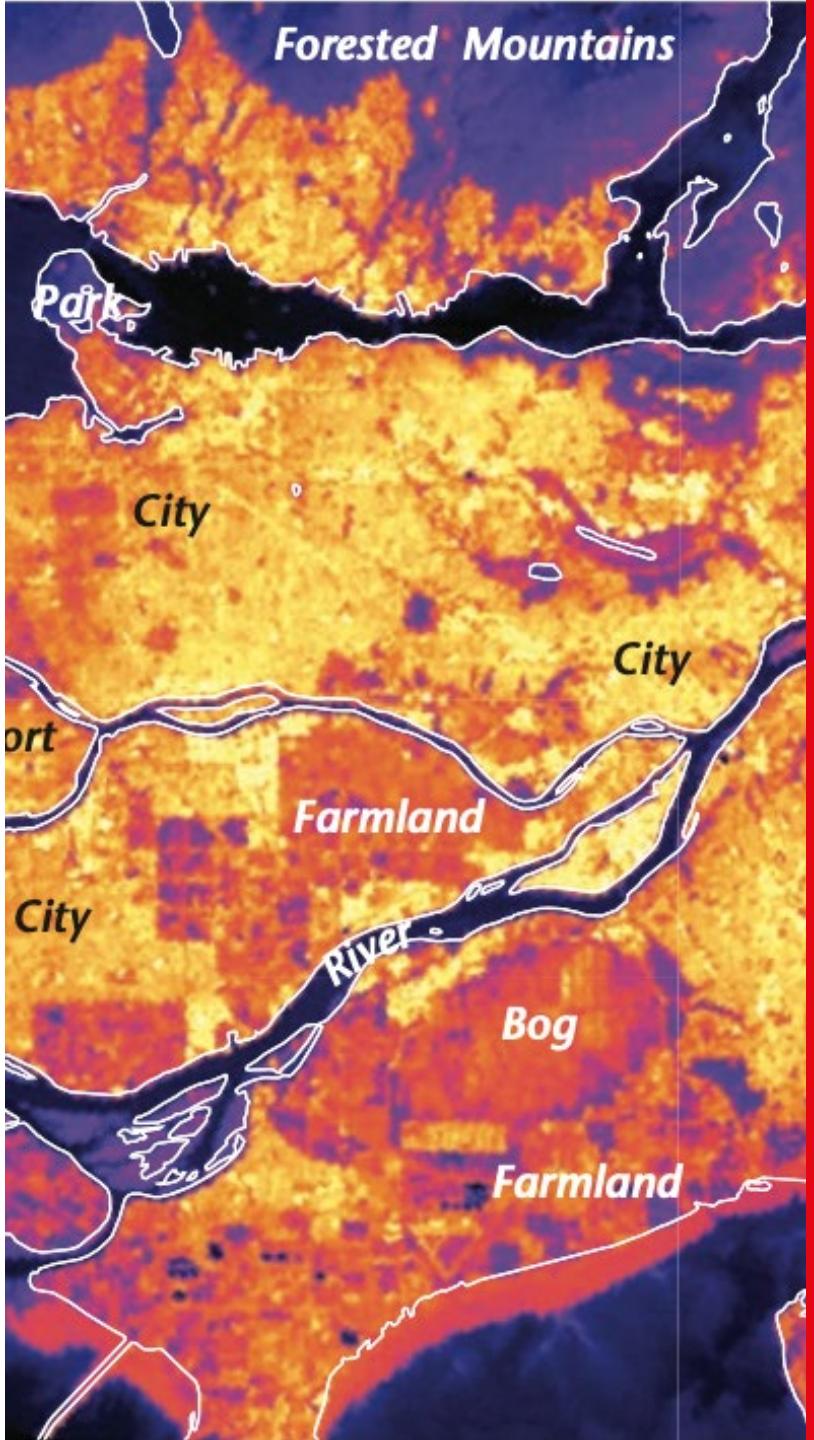
P_a (Pa) - hydraulic pressure at $(r_a - r_b)$ distance away from the root, P_b (Pa) - hydraulic pressure at the root surface,
 r_b (m) – root radius, $(r_a - r_b)$ (m) – distance away from the root where P_a is known.

- The volumetric mass flux of water ϕ (m^3/s) through the cylindric roots:

$$\phi = J_V \cdot A = J_V \cdot 2\pi \cdot r_b \cdot l \quad (8-10)$$

l (m) – length of the root system under consideration





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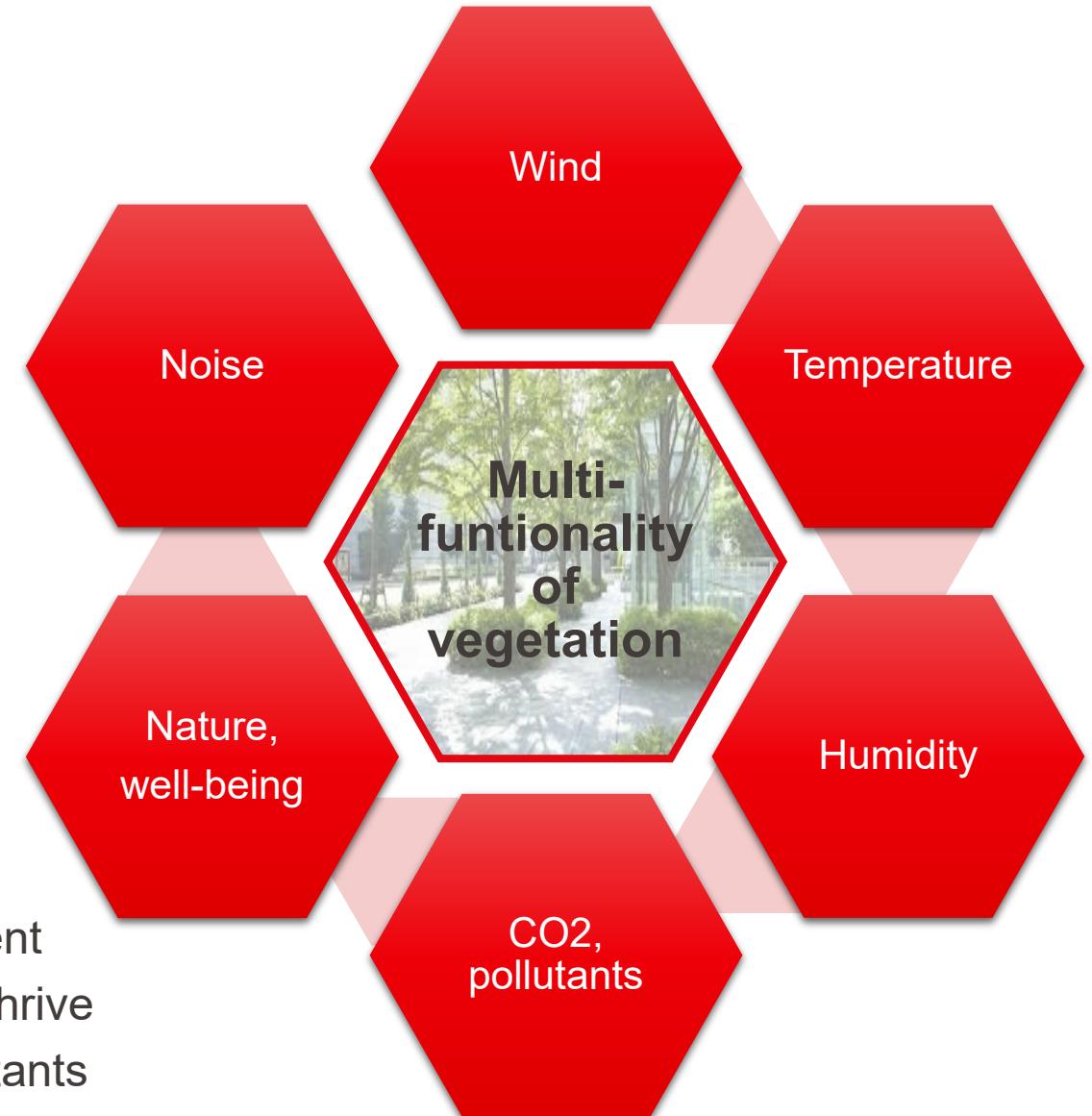
V. Summary

- **Advantages:**

1. Regulation of microclimate and UHI
2. Absorb CO₂ and other pollutants
3. Increase water retention
4. Provide recreation and well being
5. Reduction of noise
6. Production of oxygen
7. Support biodiversity
8. Protect soil from erosion

- **Disadvantages:**

1. Inconstancy (seasonality)
2. Need of maintenance (water supply)
3. Many not suited for the urban environment
4. Lack of space and proper conditions to thrive
5. Emit and promote deposition of air pollutants





**Thank you
for your attention**